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**EPIDEMIOLOGICAL CHARACTERIZATION OF BOVINE PARATUBERCULOSIS IN
THE STATE OF PARAÍBA**

PATOS, 2016

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THE STATE OF PARAÍBA**

Tese apresentada ao programa de pós-graduação em Medicina Veterinária da Universidade Federal de Campina Grande – UFCG em cumprimento do requisito para obtenção do título de Doutor em Medicina Veterinária.

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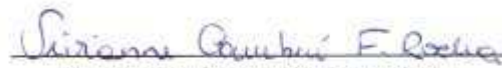
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Dedico
A meu pai Lindonôr Tôrres Vilar
(in memorian)

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ABSTRACT

This study focused on estimating the herd-level and animal-level prevalences, and identifying herd-level spatial clustering and risk factors associated with herd-level prevalence for bovine paratuberculosis in the State of Paraíba, Northeastern Brazil. The state was divided into three sampling groups: sampling stratum 1 (mesoregion of Sertão), sampling stratum 2 (mesoregion of Borborema), and sampling stratum 3 (mesoregions of Zona da Mata and Agreste). For each sampling stratum, herd-level and animal-level prevalences were estimated by a two-stage sampling survey. In the first stage, a pre-established number of herds (primary sampling units) were randomly selected; in the second stage, a pre-established number of cows aged ≥ 24 months were randomly selected (secondary sampling units). In total, 2504 animals were sampled from 480 herds. Serological diagnosis of *Mycobacterium avium* subsp. *paratuberculosis* (MAP) infection was performed by the indirect ELISA kits. A herd was deemed positive if it included at least one positive animal in herds of up to 24 females, and two positive animals in herds with more than 24 females. The herd-level prevalence in the State of Paraíba was 34.5% (95% CI = 30.2–39.1%), 41.4% (95% CI = 34.0–49.1%) in Sertão, 26.6% (95% CI = 20.2–34.2%) in the region of Borborema, and 30.5% (95% CI = 23.9–38.0%) in Agreste/Mata. The animal-level prevalence was 10.7% (95% CI = 7.3–15.4%) in the State of Paraíba, 9.4% (95% CI = 7.3–12.1%) in Sertão, 7.9% (95% CI = 5.2–11.7%) in the region of Borborema and 13.9% (95% CI = 6.2–28.3%) in Agreste/Mata. The frequency of seropositive animals per herd ranged from 6.7% to 100% (median of 20%). The risk factors identified were as follows: Sertão region (OR = 1.9), more than 12 adult animals in the herd (OR = 1.9), and not using maternity pens (OR = 1.7). Two significant clustering of positive herds were detected in Northern part of Borborema mesoregion. Our findings suggest that MAP herd-level seroprevalence in the State of Paraíba, Northeastern Brazil, is high, and support the idea that the use of maternity pens will be important for preventing transmission of MAP in the herds. As serological tests for MAP diagnosis are not widely available and are very expensive, as well as replacement or maintenance of livestock by animal purchasing is common in the region, it is concluded that prevention measures should be applied at herd level.

Key words: Paratuberculosis; Cattle; Epidemiology; Spatial clustering; Control; Northeastern Brazil.

RESUMO

Os objetivos destes trabalhos foram estimar as prevalências da paratuberculose bovina em nível de rebanho e animal bem como identificar os fatores de risco associados com a ocorrência da paratuberculose e identificar agrupamentos espaciais em nível de rebanho para paratuberculose bovina no Estado da Paraíba, Nordeste do Brasil. O Estado foi dividido em três grupos amostrais: estrato amostral 1 (mesorregião do Sertão), estrato amostral 2 (mesorregião da Borborema) e estrato amostral 3 (mesorregiões da Zona da Mata e Agreste). Para cada estrato amostral, as prevalências de rebanhos e de animais soropositivos foram estimadas por amostragem em dois estágios. No primeiro estágio, um número pré-estabelecido de rebanhos (unidades primárias de amostragem) foi selecionado aleatoriamente; no segundo estágio, um número pré-estabelecido de vacas com idade ≥ 24 meses (unidades secundárias de amostragem) foi selecionado aleatoriamente. No total, 2.504 animais foram amostrados de 480 propriedades. Para a detecção e anticorpos anti-*Mycobacterium avium* subsp. *paratuberculosis* (MAP) foram usados kits de ELISA indireto. Um rebanho foi considerado positivo se incluiu pelo menos um animal positivo em rebanhos de até 24 fêmeas, e dois animais positivos em rebanhos com mais de 24 fêmeas. A prevalência de rebanhos positivos no Estado da Paraíba foi de 34,5% (IC 95% = 30,2%–39,1%), 41,4% (IC 95% = 34,0%–49,1%) no Sertão, 26,6% (IC 95% = 20,2%–34,2%) na Borborema e 30,5% (IC 95% = 23,9%–38,0%) no Agreste/Mata. A prevalência de animais soropositivos foi de 10,7% (IC 95% = 7,3%–15,4%) no Estado da Paraíba, 9,4% (IC 95% = 7,3%–12,1%) no Sertão, 7,9% (IC 95% = 5,2%–11,7%) na Borborema e 13,9% (IC 95% = 6,2%–28,3%) no Agreste/Mata. A frequência de animais soropositivos por rebanho variou de 6,7% a 100% (mediana de 20%). Os fatores de risco identificados foram os seguintes: propriedade ser localizada no Sertão (OR = 1,9), mais de 12 animais adultos no rebanho (OR = 1,9), e não usar piquetes de parição (OR = 1,7). Foram detectados dois agrupamentos significativos de rebanhos positivos na parte norte da mesorregião da Borborema. Os resultados sugerem que a soroprevalência de paratuberculose bovina em nível de rebanho no Estado da Paraíba, Nordeste do Brasil, é alta, bem como que a utilização de piquetes de parição será importante para a prevenção da transmissão de MAP nos rebanhos. Tendo em vista que os testes sorológicos para diagnóstico de MAP não são amplamente disponíveis e são muito caros, bem como que é comum na região a reposição e manutenção dos rebanhos por compra de animais e conclui-se que medidas de prevenção devem ser aplicadas em nível de rebanho.

Palavras-chave: Paratuberculose, Bovino; Epidemiologia; Análise de aglomerados espaciais, Controle, Nordeste do Brasil.

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LIST OF ABBREVIATIONS AND ACRONYMS

MAP = *Mycobacterium avium* subsp. *paratuberculosis*

PTB = Paratuberculosis

JD = Disease Johne

PCR = Polymerase chain reaction assays

ZN = *Ziehl-Neelsen*

ELISA Enzyme-Linked Immunosorbent Assay

CI = Confidence interval

CD = Disease Crohn

DNA = Deoxyribonucleic acid

OR = *Ods Ratios*

SEDAP = Agricultural and Livestock Defense Service of the Paraíba

PC= Positive control

NC = Negative control

PNCBT = National Program for Control and Eradication of Brucellosis and Tuberculosis

Se = Sensitivity

Sp = Specificity

ICP= Individual calving pens

GCP = Group calving pens

GENERAL INTRODUCTION

Paratuberculosis, also known as Johne's disease is a chronic infectious disease with a long incubation period, caused by *Mycobacterium avium subsp. paratuberculosis* (MAP), mainly affecting domestic ruminants and less often wild ruminants, horses, pigs, hares, foxes and rodents (RIET-CORREA et al., 2001; RAIZMAN et al., 2005; YAMASAKI et al., 2013). The typical symptoms of paratuberculosis in cattle and buffalos occurs in animals after two years of age and is characterized by progressive weight loss, although the animals had normal appetite or even exacerbated, dehydration, intermittent diarrhea, profuse, homogeneous semifluid or liquid, non-responsive to treatments which gradually becomes continuous and the elimination of feces is in the form of spray (CLARKE, 1997; BEHR e COLLINS, 2010; YAMASAKI et al., 2013).

The importance of the disease in domestic ruminants has been reported because of the economic losses due to the paratuberculosis presence in cattle and buffalo herds. The main losses include reduced feed efficiency, reduced fat and milk protein, reduced slaughter weight, decreased fertility and increased incidence of mastitis, early animals sale, decrease in milk production, reproductive changes as increased calving interval, reducing the animal value and restrictions on their marketing, reducing the milk value; in addition to other costs such as veterinary care, tests, medications. (NIELSEN, 2011; YAMASAKI et al., 2013, RADOSTITS et al., 2007).

The zoonotic potential of the disease is not fully established. It is known that 10% of animals with subclinical phase eliminate agent in milk. The bacteria can withstand the pasteurization and the milk intake may be an important route of transmission to humans. The MAP agent appears was related to the Crohn's disease etiology, as its causative agent, or a factor of a multi-etiological syndrome. The agent was isolated in 70% of patients with Crohn's disease, which could indicate a relevant role in infection (COUSSENS, 2004; SHIN et al., 2004). Furthermore, in 33.3% of patients with Crohn's disease was the agent's DNA detection (JUAREZ et al., 2001).

In Paraíba, between the years 2009 and 2012, five paratuberculosis outbreaks were diagnosed at the Veterinary Hospital of the Federal University of Campina Grande (UFCG) in Patos, PB, in dairy cattle herds of the State (personal information - Riet - Correa). These data suggest that paratuberculosis is an important disease of ruminants in the semiarid region of Paraíba and it is necessary to know its epidemiology to determine appropriate control measures for the region.

This thesis consists of three chapters. Chapter I includes a literature review on the current status of bovine paratuberculosis in Brazil, which was submitted to the journal *Semina: Agricultural Sciences*. In Chapter II was carried out an epidemiological study on 480 serum farms in State of Paraíba in order to determine the prevalence of positive herds and animals, and to identify risk factors associated with prevalence of positive herds. The article related to this chapter was

36 published in the journal *Preventive Veterinary Medicine* (v. 121, p. 49-55, 2015). Chapter III
37 contains an analysis of spatial clusters of positive properties in order to identify risk area of
38 transmission of the disease in the state of Paraíba, and article derivative was submitted to the
39 journal *Pesquisa Veterinária Brasileira*.

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CHAPTER I

Bovine paratuberculosis: epidemiological situation in Brazil

Literature review submitted to the periodic SEMINA: CIÊNCIAS AGRÁRIAS

(Qualis B1)

Bovine paratuberculosis: epidemiological situation in Brazil

Paratuberculose bovina: situação epidemiológica no Brasil

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Abstract

Bovine paratuberculosis caused by *Mycobacterium avium* subsp. *paratuberculosis* (MAP) is an infectious disease characterized by chronic granulomatous enterocolitis, incurable and difficult to control. That presents economic importance for ruminants in several countries and may pose a threat to the development of Brazilian cattle production as it can cause economic losses related to decreasing in productivity, premature disposal of animals and increased health costs. This review covers the current epidemiological situation of bovine paratuberculosis in Brazil related to serological and MAP isolation and identification surveys, as well as issues related to economic importance and public health.

Key words: MAP. Paratuberculosis. Bovine. Epidemiology. Brazil.

Resumo

A paratuberculose bovina causada por *Mycobacterium avium* subsp. *Paratuberculosis* (MAP) é uma doença infecciosa caracterizada por enterocolite granulomatosa crônica, incurável e de difícil controle. A doença apresenta importância econômica para ruminantes em vários países e pode representar uma ameaça ao desenvolvimento da pecuária brasileira, pois pode causar perdas econômicas na produção de bovinos relacionadas à redução na produtividade, descarte prematuro de animais e aumento dos custos sanitários. Esta revisão aborda a situação epidemiológica atual da paratuberculose bovina no Brasil no que diz respeito aos estudos sorológicos e de isolamento e identificação de MAP, bem como os aspectos relacionados à importância econômica e Saúde Pública.

Palavras-chave: MAP. Paratuberculose. Bovino. Epidemiologia. Brasil.

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165 Introduction

166 Paratuberculosis (PTB), also known as Johne's disease (JD), is an infectious disease caused
167 by *Mycobacterium avium* subsp. *paratuberculosis* (MAP), characterized by granulomatous
168 enterocolitis chronic, incurable and difficult to control, and that has economic importance for
169 ruminants in many countries and can pose a threat to the development of Brazilian cattle (MOTA et
170 al., 2010), it can cause economic losses in the production of cattle related to reduced productivity,
171 premature disposal of animals and increased health costs (KUDAHL; NIELSEN, 2009).

172 *Mycobacterium avium* subsp. *paratuberculosis* (MAP) is classified as a member of the order
173 *Actinomycetales*, family *Mycobacteriaceae*, and *Mycobacterium avium-intracellulare* complex. This
174 complex comprises four species: *M. avium avium*, *M. avium paratuberculosis* (MAP), *M. avium*
175 *silvaticum* and *M. avium intracellulare*. (LILEMBAUM, MARASSI e OELEMANN, 2007). It is an
176 aerobic bacillus, immobile, non-sporulated Gram-positive, with approximate dimensions of 2 mm
177 by 0.5 mm, with a high content of mycolic acids in their cell wall that was first described in
178 Germany in the year 1895. Because the presence of the waxy material on its surface, this is an
179 alcohol-acid resistant cells (GRANT, 2005).

180 In cattle, clinical signs more suggestive of infection by MAP are progressive weight loss,
181 intermittent or chronic diarrhea (CLARKE, 1997). Clinical disease can be facilitated by childbirth,
182 lactation or other stress conditions (CHIODINI, VANKRUININGEN and MERKAL, 1984). The
183 diagnosis of cattle PTB is divided into two parts - the clinical disease diagnosis and subclinical
184 infection detection - and this latter is essential to control the disease in the flock level, national or
185 international. In cattle, clinical cases can be diagnosed without difficulty because chronic diarrhea
186 in adult animals is indicative of the disease. Laboratory diagnosis can be performed by agent
187 isolation in stool or autopsy material, histopathological examination of the lesions and the
188 Polymerase Chain Reaction (PCR). In the staining method Ziehl-Neelsen stain, bacteria acid-
189 alcohol are found in stool smears and samples taken from the end small intestine portions, however,
190 the ELISA test has been referred to as higher than the Ziehl-Neelsen the presumptive diagnosis
191 confirmation of clinical PTB in cattle (WEBER et al., 2009).

192 Subclinical cases can be diagnosed by bacterial isolation in stool, serum or allergy tests
193 (LILEMBAUM, MARASSI and OELEMANN, 2007). Hendrick et al. (2005), observed that the
194 indirect ELISA applied to serum and milk can be efficient and convenient in PTB subclinical
195 detection in dairy cows, with sensitivity of 73.6% (95% CI = 61.9 to 83.3%), 61.1% (95% CI = 48.9
196 to 72.4%), and specificity of 87.5% (95% CI = 84.7 to 90.0%), and 94.7% (95% CI = 92.6 to
197 96.3%) respectively compared to the stool culture.

198 In Brazil, the PTB bovine has been described in several Federative Units, as shown in Table
199 1, which shows that the disease is present in the country. The carrying out epidemiological surveys

200 and conducting studies aimed at isolating / agent identification are important to guide the effective
201 control measures implementation. Thus, this review has been prepared in order to describe the
202 bovine PTB in Brazil epidemiological situation.

203

204 **Economic importance**

205 Economic losses in ruminant herds vary considerably from country to country. Overall, the
206 disease can cause losses in the production of cattle related to the reduction in milk production and
207 premature disposal of animals (RAIZMAN et al., 2009), decreased slaughter value (KUDAHL;
208 NIELSEN, 2009), and eventual death (KUDAHL et al., 2007). Other economic losses due to the
209 presence of PTB in herds include reduced feed efficiency, reduced fat and milk protein, reduced
210 fertility and increased incidence of mastitis (NIELSEN; TOFT, 2011), herd replacement,
211 conducting diagnostic tests and veterinary care for the treatment of chronic diarrhea (HASONOVA;
212 PAVLIK, 2006).

213 In Brazil, there is still no quantitative data of actual production losses of affected herds by
214 PTB, however, estimates in other countries. In the United States of America (USA), Johne's-
215 positive herds experience an economic loss of almost US\$ 100 per cow when compared to Johne's-
216 negative herds due to reduced milk production and increased cow-replacement costs. For Johne's-
217 positive herds that reported at least 10% of their cull cows as having clinical signs consistent with
218 Johne's disease, economic losses were over US\$ 200 per cow. These high-prevalence herds
219 experienced reduced milk production of over 700 kg per cow, culled more cows but had lower cull-
220 cow revenues, and had greater cow mortality than Johne's-negative herds. (OTT et al., 1999).
221 Averaged across all herds, Johne's disease costs the US dairy industry, in reduced productivity, US\$
222 22 to US\$ 27 per cow or US\$ 200 to US\$ 250 million annually. (OTT et al., 1999). Also in the US,
223 Meyer and Hall (1994) found that economic losses for the milk and beef cattle industry revolved
224 around six million dollars per year in the state of Kentucky, and the impact is much more serious
225 for the dairy industry than for the beef industry.

226 In the province of Buenos Aires, Argentina, the estimated economic losses for the gross
227 value of livestock production and marketing and transportation during the year 2001 was
228 approximately 22 million dollars (Paolicchi et al., 2001).

229 In New Zealand, in an infected herd, the loss of one cow per year from Johne's disease in an
230 infected herd is estimated to cost an average of \$1,616 per year. Clinical disease is responsible for
231 the majority of the economic loss, comprising losses from lower milk production (52% of the total
232 cost) and from lower carcass values (26% of the total cost). Overall, the disease may account for
233 losses in the dairy industry up to 3.8 million dollars (BRETT 1998).

234

235 **Public health importance**

236 The DJ has some histopathologic similarities with Crohn's disease (CD), a chronic
237 inflammatory bowel disease of humans controversial etiology, however, MAP can possibly be
238 related to its etiology as the causal agent or as one of the syndrome multi-aetiological factors
239 (ATREYA et al., 2014). This association has awakened great scientific interest since the 1980,
240 when Chiodini, Vankruiningen and Merkal (1984) isolated MAP and detected their DNA in patients
241 with CD tissues. Based on these observations, the link between MAP and DC were investigated by
242 the direct and indirect diagnostic methods application for the MAP detection. (CHIODINI et al.,
243 2012).

244 Humans are exposed to MAP during direct contact with infected animals, exposure to faecal
245 material or through contaminated meat or dairy products. The latter point is of major concern since
246 particularly young children with an immature antibacterial host defense may be at risk to acquire
247 infection by the ingestion of milk (Atreya et al., 2014).

248 MAP has been detected in milk and dairy products on several occasions and in several
249 countries, including Brazil. Carvalho et al. (2009) used 222 samples of raw cow's milk, with 206
250 individual samples 16 and cooling tank in Viçosa, MG, and detected DNA in eight MAP (3.6%)
251 samples. Carvalho et al. (2012), also in Viçosa, MG, used 37 samples of pasteurized milk sold in
252 supermarkets and, based on colony morphology after culture in medium HEYN to mycobactin J,
253 observed growing colonies suspected of MAP in a sample, which was confirmed by PCR IS900.
254 Faria et al. (2014) detected by PCR and culture, the presence of MAP in curd cheese sold at retail in
255 the Parnaíba region, in the Piauí state, Northeast Brazil. Of the 30 rennet cheese samples collected in
256 the formal and informal market, there was a specific DNA detection of MAP in three (10%)
257 samples and one (3.3%) sample was achieved isolation of MAP.

258

259 **Seroepidemiological surveys conducted in Brazil**

260 In Brazil, seroepidemiological studies were conducted in cattle in various conditions (Table
261 1), however, methodologies relating to selecting properties / animal and serological testing was
262 used distinct. A total of eight studies were carried out to determine the prevalence of animal level
263 (FONSECA et al., 2000; FERREIRA et al., 2001; ACYPRESTE et al., 2005; SILVA, 2005; COSTA
264 et al., 2010; MEDEIROS et al, 2012;. SÁ et al, 2013;. VILAR et al, 2015), whereas seven studies
265 were determined the prevalence at herd level (FONSECA et al., 2000; FERREIRA et al., 2001;
266 ACYPRESTE et al., 2005; COSTA et al, 2010;. MEDEIROS et al., 2012;. SÁ et al., 2013; VILAR
267 et al., 2015). In only three studies were used random sampling to select properties / animals
268 (ACYPRESTE et al., 2005; SILVA, 2005; VILAR et al, 2015).

269 The prevalence of positive herds (at least one positive animal in the herd) were 95% in São
270 Paulo (FONSECA et al., 2000), 82% in Rio de Janeiro (FERREIRA et al., 2001), 100% in Goiás (
271 ACYPRESTE et al., 2005), 87% in the Espírito Santo (COSTA et al., 2010), 58.33% in Paraíba
272 (MEDEIROS et al., 2012), 47.4% in Pernambuco (SÁ et al., 2013) and 34.5% also in the state of
273 Paraíba (VILAR et al., 2015), and animal level, the prevalence was 37.9% in São Paulo (FONSECA
274 et al., 2000), 18% in Rio Janeiro (FERRERIA et al., 2001), 35.4% in Pará (SILVA, 2005), 60.24%
275 in Goiás (ACYPRESTE et al., 2005), 11.4% in the Espírito Santo (COSTA et al. , 2010), 10.1%
276 (MEDEIROS et al., 2012) and 10.7% (VILAR et al., 2015) Paraíba, and 2.7% in Pernambuco (SÁ
277 et al., 2013).

278

279 **MAP isolation and identification in cattle in Brazil**

280 In Brazil, several studies were conducted in order to isolate / detect MAP in cattle. In the
281 state of Rio Grande do Sul, Gomes et al. (2002), using 229 samples of tissues (intestine and lymph
282 nodes) eight cows with clinical signs suggestive of PTB in dairy herd of Rio Grande do Sul,
283 isolated MAP eight (3.5%) samples and Fiss et al. (2015) detected DNA of MAP in five (14.7%) of
284 the 34 stool samples of the rectum of beef cattle from a farm.

285 In the Rio de Janeiro state, Ristow et al. (2008), studying three cattle from two herds with
286 PTB history in Rio de Janeiro MAP recovered from three (42.8%) of the seven samples with minor
287 injuries, five (55.5%) of the nine samples with injuries moderate, and all eight samples with severe
288 injuries. In Resende, Rio de Janeiro, where had description of PTB clinical cases in cattle,
289 Yamasaki et al. (2010) 203 stool specimens submitted for bacterial isolation means Herold with
290 mycobactin, and 14 (7.0%) samples grew colonies compatible with MAP, as well as in 14 fecal
291 samples, and the milk was detected DNA MAP IS900 PCR.

292 In the Pernambuco state, Mota et al. (2007) isolated four MAP (50.0%) of the eight faecal
293 samples inoculated in HEYM to mycobactin J, shaved and a sample of the animal mucosa with the
294 clinical form of PTB, and in three samples was detected DNA MAP IS900 PCR.

295 In the Paraíba state, Mota et al. (2009) examined 160 stool samples from a dairy Gyr herd
296 and observed the growth of bacterial colonies compatible with MAP in two (1.3%) samples.

297

298 **Risk factors**

299 The bovine paratuberculosis has a worldwide distribution and many countries have
300 implemented control programs to prevent transmission among and within herds. For these programs
301 to be efficient, knowledge of the risk factors involved in transmission is essential in a particular
302 geographical area (DORÉ et al., 2012), since, due to the complexity of the causal network for

303 transmission of infection different risk conditions may be important in different geographical areas
304 (ANSARI-LARI et al., 2009).

305 Rangel et al. (2015), in a systematic review about the risk factors associated with infection
306 by MAP, selected scientific articles published from 1996 to 2011 and found that the purchase /
307 introduction of animals in herds was an important risk factor associated with paratuberculosis. In
308 fact, animals entering the herd may have subclinical infection (WELLS; WAGNER, 2000;
309 SIBLEY; ORPIN; PEARSE, 2012), eliminate the bacteria and contaminate the environment for
310 months to years before the clinical signs onset. Similarly, Hirst et al. (2004) also reported the
311 introduction of animals in the herd as an important risk factor for PTB in dairy cattle in Colorado,
312 USA. Already Doré et al. (2012) also entered systematic review and found that the contact calves
313 adult animal feces was the most important risk factor in the MAP transmission.

314 Nielsen et al. (2008), Denmark, reported that calves fed colostrum from multiple cows had
315 higher risk of being positive compared to animals fed only mother's colostrum. Ansari-Lari et al.
316 (2009) in cross-sectional study in southern Iran observed that contamination of cows udders during
317 the periparturient with manure and history have suspected cases of PTB in the herd were
318 significantly associated with MAP infection in the herd.

319 In Brazil, risk factors analysis were performed in studies conducted in the Pernambuco and
320 Paraíba states, and according to the results, the most important variables were: annual rate of higher
321 birth to 51 calves per year (SA et al, 2013) the Pernambuco state; herd over 12 adult animals and
322 the non-use of farrowing paddocks (VILAR et al., 2015) in the Paraíba state. In fact, high annual
323 birth rates and high numbers of adult animals are related to herd size and generally the higher the
324 larger herd size is the animals density, which can facilitate the spread of the agent in the herd. The
325 use of paddocks parturition is a very important management strategy for the various infectious
326 diseases prevention in animals, and in particular, the PTB case, the proper management of the
327 birthing area with the cleaning and disinfection of the environment, reduces the chances of MAP
328 infection (NIELSEN and TOFF, 2008).

329 The high percentage of reactive animals to the ELISA test, presents one of the most
330 important categories in the disease control, for not presenting clinical signs intermittently eliminate
331 the agent in the environment and keeps the infection in the herd (CHIODINI, CHAMBERLIN AND
332 MERKAL, 1984). The implementation of control measures such as changes in the handling and
333 feeding of animals, such as animals elimination with diarrhea, selective slaughter of cows that had
334 high antibody concentrations in the ELISA test, which had recurrent mastitis, reproductive and
335 problems or advanced age, separation of calves from cows shortly after birth and use of colostrum
336 bank, can bear fruit some positive effect in reducing the number of clinical cases occurrence.
337 (YAMASAKI et al, 2010).

338 **Final considerations**

339 Despite controversies with the MAP involvement in the CD etiology in humans, there are
 340 strong indications of possible zoonotic paratuberculosis. This observation raises concerns from a
 341 public health point of view in the case of milk and milk products consumption from infected
 342 animals because in Brazil there are several reports of isolation and / or MAP DNA identification in
 343 milk and milk products. It is noteworthy that MAP has been isolated from tissues and cattle feces
 344 on several occasions in Brazil.

345 Analyzing the seroepidemiological studies for MAP in Brazil, concluded that such studies
 346 are scarce and mostly without planned sampling use, which can generate an epidemiological bias in
 347 determining indicators. On the other hand, these studies indicate a high incidence of herds with
 348 seropositive animals, so it is important to concentrate efforts on driving more work with sampling
 349 planned in all country regions in order to determine the real epidemiological situation disease,
 350 seeking to standardize the methodologies used for decision-making and implementation of
 351 appropriate control measures for each region.

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Table 1. Sero-epidemiological studies in Brazil to determine the prevalence of paratuberculosis in animal level and herd level.

State	Study period	Nº of herds/animals	Selection of herds / animals	Diagnostic test	Prevalence of herds / prevalence of animals	References
São Paulo	N.R.	20 herds/403 animals	N.R. / N.R.	ELISA (Idexx)	95% / 37,9%	Fonseca et al., 2000
Espírito Santo	N.R.	24 herdes/1450 animals	N.R./ nonrandom sampling	ELISA (Pourquier)	87% / 11,4%	Costa et al., 2010
Pará	N.R.	514 animals	random sampling / random sampling	ELISA (Svanova)	35,4 % in animal level	Silva 2005
Paraíba	N.R.	36 herds/486 animals	N.R./ N.R.	ELISA (ID Screen)	58,33% / 10,1 %	Medeiros et al., 2012
Paraíba	September 2012 to january 2013	480 herds/ 2504 animals	random sampling / random sampling	ELISA (Pourquier – IDEXX)	34,5% / 10,7 %	Vilar et al., 2015
Goiás	January - july 1998	17 herds/166 animals	random sampling / random sampling	ELISA (Bratexlaboratories)	100% / 60,24 %	Acyprest et al., 2005
Pernambuco	N.R.	19 herds/408 animals	N.R./ N.R.	ELISA (Pourquier – IDEXX)	47,4% / 2,7 %	Sá et al., 2013
Rio de Janeiro	N.R.	45 herds/1004 animals	N.R./ N.R.	ELISA (Parachek)	82% / 18 %	Ferreira et al., 2001

N.R. : not reported

Source: Adapted from Fernandes-Silva et al. (2014)

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CHAPTER II

Herd-level prevalence and associated risk factors for *Mycobacterium avium* subsp. *paratuberculosis* in cattle in the State of Paraíba, Northeastern Brazil

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Herd-level prevalence and associated risk factors for *Mycobacterium avium* subsp. *paratuberculosis* in cattle in the State of Paraíba, Northeastern Brazil

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ABSTRACT. A cross-sectional study based on a planned sampling was carried out to determine herd-level and animal-level prevalences, and to identify risk factors associated with herd-level prevalence for bovine paratuberculosis in the State of Paraíba, Northeastern Brazil. The state was divided into three sampling groups: sampling stratum 1 (mesoregion of Sertão), sampling stratum 2 (mesoregion of Borborema), and sampling stratum 3 (mesoregions of Zona da Mata and Agreste). For each sampling stratum, herd-level and animal-level prevalences were estimated by a two-stage sampling survey. In the first stage, a pre-established number of herds (primary sampling units) were randomly selected; in the second stage, a pre-established number of cows aged ≥ 24 months were randomly selected (secondary sampling units). Ten animals were sampled in herds with up to 99 cows aged over 24 months; 15 animals were sampled in herds with 100 or more cows aged over 24 months; and all animals were sampled in those with up to 10 cows aged over 24 months. In total, 2,504 animals were sampled from 480 herds. Enzyme-linked immunosorbant assay (ELISA) test kits were used for *Mycobacterium avium* subsp. *paratuberculosis* (MAP) antibody detection. A herd was deemed positive for the presence of MAP if it included at least one positive animal in herds of up to 24 females, and two positive animals in herds with more than 24 females. The herd-level prevalence in the State of Paraíba was 34.5% (95% CI = 30.2%–39.1%), 26.6% (95% CI = 20.2%–34.2%) in the region of Borborema, 30.5% (95% CI = 23.9%–38.0%) in Agreste/Mata, and 41.4% (95% CI = 34.0%–49.1%) in Sertão. The animal-level prevalence was 10.7% (95% CI = 7.3%–15.4%) in the State of Paraíba, 7.9%

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67 (95% CI = 5.2%–11.7%) in the region of Borborema, 9.4% (95% CI = 7.3%–12.1%) in
68 Sertão, and 13.9% (95% CI = 6.2%–28.3%) in Agreste/Mata. The frequency of seropositive
69 animals per herd ranged from 6.7% to 100% (median of 20%). The risk factors identified
70 were as follows: Sertão region (OR = 1.9), more than 12 adult animals in the herd (OR =
71 1.9), and not using maternity pens (OR = 1.7). Our findings suggest that MAP herd-level
72 seroprevalence in the State of Paraíba, Northeastern Brazil, is high, and support the idea that
73 the use of maternity pens will be important for preventing transmission of MAP in the herds.

74

75 *Keywords:* Paratuberculosis; Bovine; Epidemiology; Control; Northeastern Brazil

76

77 **RESUMO:** Foi realizado um estudo transversal com base em uma amostragem planejada para
78 determinar a prevalência de propriedades e animais soropositivos para MAP e identificar os
79 fatores de risco associados à prevalência da paratuberculose a nível de rebanho de bovinos no
80 Estado da Paraíba, Nordeste do Brasil. O estado foi dividido em três estratos amostrais:
81 estrato amostral 1 (Mesorregião do Sertão), estrato amostral 2 (Mesorregião da Borborema), e
82 estrato amostral 3 (Mesorregiões do Zona da Mata e Agreste). Para cada estrato amostral, a
83 prevalência a nível de animais e a nível de rebanho foi estimada por uma pesquisa de
84 amostragem de duas fases. Na primeira etapa, um número pré-estabelecido de rebanhos
85 (unidades primárias da amostra) foram selecionados aleatoriamente; na segunda fase, um
86 número pré-estabelecido de fêmeas com idade ≥ 24 meses foram selecionados aleatoriamente
87 (unidades secundárias da amostra). Dez animais foram amostrados em rebanhos com até 99
88 fêmeas com idade ≥ 24 meses; 15 animais foram amostrados nos rebanhos com 100 ou mais
89 fêmeas com idade ≥ 24 meses; e todas as fêmeas foram amostradas em rebanhos com até 10
90 fêmeas com idade ≥ 24 meses. No total, 2504 animais foram amostrados em 480 rebanhos.
91 Para a detecção de anticorpos para *Mycobacterium avium subsp. paratuberculosis* (MAP)
92 foram utilizados kits de teste imunoenzimático (ELISA). Um rebanho foi considerado
93 positivo para a presença de MAP se apresentasse pelo menos um animal positivo em rebanhos
94 de até 24 fêmeas e dois animais positivos em rebanhos com mais de 24 fêmeas. A prevalência
95 a nível de rebanho no Estado da Paraíba foi de 34,5% (IC 95% = 30,2-39,1%), 41,4%(IC 95%
96 = 34,0-49,1%) no Sertão, 26,6% (IC 95% = 20,2-34,2%) na região da Borborema e 30,5%
97 (95% IC = 23,9-38,0%) na região Agreste / Zona da Mata. A prevalência em nível animal foi
98 de 10,7% (IC 95% = 7,3-15,4%) no Estado da Paraíba, 9,4% (IC 95% = 7,3-12,1%) no Sertão,
99 de 7,9% (IC 95% = 5,2-11,7%) na região da Borborema e 13,9% (IC 95% = 6,2--28,3%) no

100 Agreste / Mata. A frequência de animais soropositivos por rebanho variou de 6,7% a 100%
101 (média de 20%). Os fatores de risco identificados foram os seguintes: região Sertão (OR =
102 1,9), rebanhos com mais de 12 animais adultos (OR = 1,9), e o não uso de currais de
103 maternidade (OR = 1,7). Nossos resultados sugerem que a soroprevalência de MAP a nível
104 rebanho no Estado da Paraíba, Nordeste do Brasil, é alta, e apoia a ideia de que o uso de
105 currais de maternidade será importante para a prevenção da transmissão do MAP nos
106 rebanhos.

107 Palavras-chave: Paratuberculose, Bovino, Epidemiologia, Controle, Nordeste do Brasil

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110 **1. Introduction**

111

112 Paratuberculosis, also known as Johne's disease, is a chronic intestinal infection of
113 global importance in mainly domestic and wild ruminants caused by *Mycobacterium avium*
114 subsp. *paratuberculosis* (MAP). This infection can cause significant economic losses in cattle
115 primarily related to reduced milk production and premature culling (Raizman et al., 2009),
116 decreased value at slaughter (Kudahl and Nielsen, 2009), and eventual death (Kudahl et al.,
117 2007). Other economic losses resulting from the presence of paratuberculosis in cattle herds
118 include reduced feed efficiency, decreased fat and protein content in the milk, decreased
119 fertility, and increased incidence of mastitis (Nielsen and Toft, 2011).

120 Johne's disease shows some histopathological analogies with Crohn's disease (CD), a
121 human chronic inflammatory bowel disease of still unresolved etiology, and MAP appears to
122 be related to the etiology of CD as either a causative agent or one of the factors of a multi-
123 etiological syndrome (Atreya et al., 2014). This association has aroused great scientific
124 interest since the 1980s, when Chiodini et al. (1984) isolated MAP and its DNA from
125 biological tissues in CD patients. Based on these observations, the link between MAP and CD
126 has been widely investigated through direct and indirect methods for MAP detection
127 (Chiodini et al., 2012). In Brazil, the presence of MAP DNA and the viability of MAP in raw
128 and retail pasteurized milk (Carvalho et al., 2009, 2012) and artisanal Coalho cheese (Faria et
129 al., 2014) has been proven, suggesting that live organisms might be transmitted to humans by
130 ingestion of contaminated products.

131 The diagnosis of paratuberculosis is divided into two parts - the diagnosis of clinical
132 disease and the detection of subclinical infection – and the latter is essential for control of the
133 disease at the farm, national or international level. In cattle, clinical cases can be diagnosed

134 without difficulty because chronic diarrhea in adult animals is indicative of the disease. In the
135 laboratory, a diagnosis can be made by isolating the agent from feces or necropsy material, by
136 histological study of the lesions, and polymerase chain reaction (PCR) assays. By using the
137 Ziehl-Neelsen staining method (ZN-test), acid-alcohol resistant bacteria are observed in feces
138 smears and at the end portions of the small intestine, however, serum-ELISA has been
139 referred as superior to the ZN-test to confirm the presumptive diagnosis of clinical
140 paratuberculosis in cattle (Weber et al., 2009). Subclinical cases can be diagnosed by isolating
141 the bacteria from the feces, serological tests, or allergy tests (Lilenbaum et al., 2007).
142 Hendrick et al. (2005) found that serum- and milk-ELISAs may be potentially useful and
143 convenient methods in detecting subclinical paratuberculosis in lactating dairy cattle, with
144 sensitivities of 73.6% (95% CI = 61.9% - 83.3%) and 61.1% (95% CI = 48.9% - 72.4%), and
145 specificities of 87.5% (95% CI = 84.7% - 90.0%) and 94.7% (95% CI = 92.6% - 96.3%),
146 respectively, compared to fecal culture.

147 During the last few decades, dairy cattle have become significantly important within
148 animal husbandry in the State of Paraíba, Northeastern Brazil. Except for the Zona da Mata
149 region (where sugarcane crops prevail), small cattle-raising farms are widespread in the
150 Agreste, Borborema and Sertão regions. Whereas cultivated grasses (mostly *Brachiaria* spp.)
151 are the basis for Agreste livestock, cattle are usually reared extensively on native Caatinga in
152 most of the Borborema and Sertão farms. Following the Brazilian scenario of milk
153 production, in the State of Paraíba around 69% of milk was produced in small cattle-raising
154 farms (IBGE, 2006). In this context, the performance of epidemiological studies to investigate
155 infectious agents as well as infection by MAP is important. MAP prevalence estimation is a
156 key element to assess the disease impact, and for the design of control programmes (Verdugo
157 et al., 2014), therefore, the objective of this study was to determine the herd-level and animal-
158 level prevalence of MAP infection using serology in cattle from the State of Paraíba,
159 Northeastern Brazil, as well as to identify risk factors associated with herd-level prevalence.

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161

162 **2. Material and methods**

163

164 *2.1. Characterization of the study area*

165

166 The State of Paraíba, located in the Northeastern region of Brazil, is characterized by
167 warm weather throughout the year. The state is geographically subdivided into the following

168 four major regions, based mostly on vegetation type and rainfall: (i) Zona da Mata (Atlantic
169 forest), (ii) Agreste, (iii) Borborema, and (iv) Sertão. The Zona da Mata and Agreste have
170 relatively higher rainfall regimes (Cabrera and Willink, 1973). Both Borborema and Sertão
171 (the semiarid region) are typically within the Caatinga biome, which encompasses an area of
172 900,000 km² (11% of Brazilian territory) and is the only major biome that occurs exclusively
173 in Brazil. Caatinga is xeric shrubland and thorn forest, which consists primarily of small,
174 thorny trees that shed their leaves seasonally. Cacti, thick-stemmed plants, thorny brush and
175 arid-adapted grasses make up the ground layer; however, during the dry periods there is no
176 ground foliage or undergrowth (Andrade-Lima, 1981). The weather is characterized by a hot
177 and semiarid climate, with temperatures averaging 27 °C, and the mean annual rainfall is
178 typically ≈500 mm. There are typically two seasons: a rainy season from February to May,
179 and a long drought period from June to January. However, occurrences of droughts
180 sometimes lasting for longer than one year is also a characteristic of the region (Batista et al.,
181 2007).

182

183 *2.2. Division of the State of Paraíba into stratified sampling groups*

184

185 The State of Paraíba was divided into three sampling groups: sampling stratum 1
186 (mesoregion of Sertão), sampling stratum 2 (mesoregion of Borborema), and sampling
187 stratum 3 (mesoregions of Zona da Mata and Agreste) (Fig. 1). When creating this
188 stratification scheme, the operational capacity of the Agricultural and Livestock Defense
189 Service of the State of Paraíba (SEDAP) was considered based on the areas of action of its
190 regional units in order to ensure that the agency could conduct the fieldwork.

191

192 *2.3. Sampling, target condition and herd-level case definition*

193

194 For each sampling stratum, the prevalence of herds infected with bovine
195 paratuberculosis and the prevalence of seropositive animals were estimated by a two-stage
196 sampling survey. In the first stage, a pre-established number of herds (primary sampling
197 units) were randomly selected; in the second stage, a pre-established number of cows aged ≥
198 24 months were randomly selected (secondary sampling units).

199 In farms with more than one herd, the cattle herd of greater economic importance was
200 chosen as the target of the study; the animals in the selected cattle herd were subjected to the
201 same type of management system as the other herds, i.e., had the same risk factors as the

202 other herds. The selection of the primary sampling units was random (random drawing), and
203 was based on the records of farms of the SEDAP. If a herd that was selected could not be
204 visited, the herd was replaced by another one in the vicinity with the same production
205 characteristics. The number of selected herds per sampling stratum was determined by using
206 the formula for simple random samples proposed by Thrusfield (2007). The parameters
207 adopted for the calculation were as follows: 95% confidence level, 47.4% estimated
208 prevalence (Sá et al., 2013), and 8% error. Further, the operational and financial capacity of
209 the SEDAP was taken into consideration when determining the sample size of the sampling
210 stratum.

211 For the secondary units, the minimum number of animals to be examined within each
212 herd was estimated in order to allow its classification as positive herd. For this purpose, the
213 concept of aggregate sensitivity and specificity was used (Dohoo et al., 2003). For the
214 calculations, the following values were adopted: 73.6% (Hendrick et al., 2005) and 98%
215 (Sweeney et al., 1995) for the sensitivity and specificity, respectively, of the test protocol and
216 37.9% (Fonseca et al., 2000) for the intra-herd estimated prevalence. Herdacc version 3
217 software (Jordan, 1995) was used during this process, and the sample size was selected so that
218 the herd sensitivity and specificity values would be $\geq 90\%$. Therefore, 10 animals were
219 sampled in herds with up to 99 cows aged over 24 months; 15 animals were sampled in herds
220 with 100 or more cows aged over 24 months; and all animals were sampled in those with up
221 to 10 cows aged over 24 months. The selection of the cows within the herds was systematic.

222 The target condition (Gardner et al., 2011) was a seropositive animal within an
223 infected herd. The herd-level case definition was based on the size of the population (cows
224 aged ≥ 24 months), number of females sampled, an intra-herd apparent prevalence of 37.9%
225 (Fonseca et al., 2000), and the sensitivity and specificity of the diagnostic test used (indirect
226 ELISA), with the goal of obtaining a herd sensitivity and specificity of $\geq 90\%$. After new
227 simulations using Herdacc software, a herd was deemed positive for the presence of MAP if it
228 included at least one positive animal in herds of up to 24 females, and two positive animals in
229 herds with more than 24 females.

230 The field activities included blood collection, provision of an epidemiological
231 questionnaire, and sending the samples to the laboratory. The veterinarians and agricultural
232 and livestock technicians of the SEDAP were involved in the fieldwork. Blood samples (10-
233 mL volume) were collected from September 2012 to January 2013, from cows aged ≥ 24
234 months by jugular vein puncture with a disposable needle and a 15-mL capacity vacuum tube
235 (without anticoagulant). An 11-digit code was used for identification of the tubes, of which

236 the first nine digits referred to the herd code and the final two digits to the number sequence of
237 the sampled cow. After draining, the serum was transferred to microtubes and was frozen.

238

239 *2.4. Data collection*

240

241 A structured questionnaire including closed-ended questions was designed to obtain
242 information concerning (a) the identification and location of the herd; (b) management
243 practices; (c) structure and composition of the herd; and (d) presence of other domestic and
244 wildlife species in the farm. Questionnaires were administered to the owner or person in
245 charge of the herd either by the primary author or by a veterinarian from the SEDAP at the
246 same time of the visit to blood collection. The description of the questions included in the
247 questionnaire can be found in the supplementary material.

248

249 *2.5. Serological diagnosis*

250

251 The serological examination was performed according to the protocol recommended
252 by the kit for detection of antibodies to MAP (Pourquier-IDEXX ELISA Paratuberculosis
253 Screening Ab Test). To calculate the results, the reactions were considered valid when the
254 average of the positive control (PCx) had a minimum OD₄₅₀ mean value of 0.350 and the
255 coefficient between the average of the PCx and the negative control (NC OD₄₅₀) was ≥ 3.00 .
256 Samples with percentages $\geq 70\%$ were considered positive for the presence of antibodies
257 against MAP.

258

259 *2.6. Prevalence calculations*

260

261 A herd was deemed positive for the presence of MAP if it included at least one
262 positive animal in herds of up to 24 females, and two positive animals in herds with more than
263 24 females. EpiInfo 6.04 software was used to calculate the apparent prevalences and
264 respective confidence intervals (Dean et al., 1996). Stratified random sampling was utilized to
265 calculate the herd-level prevalence in the State of Paraíba (Thrusfield, 2007). The required
266 parameters were as follows: (a) condition of the herd (positive or negative), (b) sampling
267 stratum to which the herd belonged, and (c) statistical weight. The statistical weight was
268 determined by applying the following formula (Dean et al., 1996):

269

$$270 \quad Weight = \frac{\text{number of herds in the stratum}}{\text{number of herds sampled in the stratum}}$$

271
 272 The calculation of the herd-level prevalence per sampling stratum employed the
 273 sampling design of a simple random sample by using the following parameters: (a) number of
 274 positive herds and (b) number of herds sampled in the stratum.

275 The sampling design for calculating the animal-level prevalence in the State of Paraíba
 276 employed a two-stage stratified cluster sampling, and a two-stage cluster sampling in each
 277 stratum (Thrusfield, 2007), where each herd was considered a cluster. The following
 278 parameters were used: (a) animal condition (seropositive or seronegative), (b) sampling
 279 stratum to which the animal belonged, (c) herd code (to identify each cluster), and (d)
 280 statistical weight. The statistical weight was calculated with the following formula (Dean et
 281 al., 1996):

$$282$$

$$283 \quad Weight = \frac{\text{cows} \geq 24 \text{ months in the stratum}}{\text{cows} \geq 24 \text{ months in the sampled herds}} \times \frac{\text{cows} \geq 24 \text{ months in the herd}}{\text{cows} \geq 24 \text{ months sampled in the herd}}$$

$$284$$

285
 286 In the above expression, the first term refers to the weight of each animal in the
 287 calculation of the animal-level prevalence within the stratum.

288

289 *2.7. Risk factor analysis*

290
 291 Data obtained with the epidemiological questionnaires were used in the analysis of
 292 risk factors associated with the herd-level prevalence. The analyzed variables and respective
 293 categories were as follows: sampling stratum (Sertão/Borborema/Agreste and Zona da Mata),
 294 type of production (beef/milk/mixed), management system (intensive/semi-
 295 intensive/extensive), number of lactating cows (cut-off point: median), daily milk production
 296 (cut-off point: median, 3rd quartile), use of artificial insemination (no/yes), predominant breed
 297 (Zebu/crossbreed/European dairy and beef breeds), number of cattle young stock (cut-off
 298 point: 3rd quartile), number of cattle adult animals (cut-off point: 3rd quartile), presence of
 299 sheep/goats, horses, pigs, poultry, wild canids, cervids, and capybaras (no/yes), animal
 300 purchasing (no/yes), rental of pastures (no, yes), sharing of pastures (no, yes), presence of

301 flooded pastures (no, yes), use of maternity pens (no, yes), milk cooling (no/yes), and
302 veterinary assistance (no/yes).

303 The variables were organized for presentation in ascending or descending order
304 regarding scale of risk. When necessary, these variables were re-categorized. The lower-risk
305 category was considered the basis for comparison for the other categories. An initial
306 exploratory analysis of the data (univariable) was conducted for selection of variables with P
307 ≤ 0.2 by the chi-square test or Fisher's exact test; subsequently, the variables that passed this
308 cut-off were utilized for logistic regression (Hosmer and Lemeshow, 2000). The fit of the
309 final model was verified with the Hosmer and Lemeshow test, and collinearity between
310 independent variables was verified by a correlation analysis; for those variables with a strong
311 collinearity (correlation coefficient > 0.9), one of the two variables was excluded from the
312 multiple analysis according to the biological plausibility (Dohoo et al., 1996). Confounding
313 was assessed by monitoring the changes in the model parameters when adding new variables.
314 If substantial changes (i.e., higher than 20%) were observed in the regression coefficients, this
315 was considered as indicative of confusion. The calculations were performed by using SPSS
316 software version 20.0.

317

318 **3. Results**

319

320 The census data and the sample studied in each sampling stratum are shown in Table
321 1. In total, 2,504 animals were sampled from 480 herds. Herd-level and animal-level
322 prevalences are presented in Tables 2 and 3, respectively; further, the geographical
323 distribution of positive and negative herds are shown in Fig. 1. The herd-level prevalence in
324 the State of Paraíba was 34.5% (95% CI = 30.2–39.1), 26.6% (95% CI = 20.2%–34.2%) in
325 the region of Borborema, 30.5% (95% CI = 23.9%–38.0%) in Agreste/Zona da Mata, and
326 41.4% (95% CI = 34.0%–49.1%) in Sertão. The animal-level prevalence was 10.7% (95% CI
327 = 7.3%–15.4%) in the State of Paraíba, 7.9% (95% CI = 5.2%–11.7%) in the region of
328 Borborema, 9.4% (95% CI = 7.3%–12.1%) in Sertão, and 13.9% (95% CI = 6.2%–28.3%) in
329 Agreste/Zona da Mata. The frequency of seropositive animals per herd ranged from 6.7% to
330 100% (median of 20%).

331 The results of the univariable analysis for the risk factors are presented in Table 4. The
332 variables selected ($P \leq 0.2$) for the multiple analysis were as follows: sampling stratum, daily
333 milk production, predominant breed, number of cattle adult animals, presence of pigs, and use
334 of maternity pens. In the final logistic regression model (Table 5), the risk factors identified

335 were as follows: Sertão region (OR = 1.9), more than 12 adult animals in the herd (OR = 1.9),
336 and not using maternity pens (OR = 1.7). Final model had a good fit (Hosmer and Lemeshow
337 test: chi-square = 6.146; $P = 0.631$).

338

339 4. Discussion

340

341 High herd-level (34.5%; 95% CI = 30.2–39.1) and animal-level (10.7%; 95% CI =
342 7.3%–15.4%) prevalences were found in the State of Paraíba, especially in the Sertão
343 mesoregion, where the herd-level prevalence was 41.4% (95% CI = 34.0%–49.1%),
344 indicating that the infection is widely spread in Paraíba. It is believed that the animal-level
345 prevalence might even be higher, once the National Program for the Control and Eradication
346 of Bovine Brucellosis and Tuberculosis (PNCEBT) in Brazil, which has been conducted in
347 the State of Paraíba since 2001, recommended that animals should be tested by tuberculin test
348 and that positive animals should be culled. This recommendation may have helped to decrease
349 the animal-level prevalence for paratuberculosis because *M. avium* infection can produce
350 false-positive results in the diagnosis of infection by *M. bovis* in the tuberculin test (simple
351 intradermic test) (Balseiro et al., 2003) since MAP and *M. bovis* belong to the same genus.
352 However, it is important to emphasize that paratuberculosis has a relatively long incubation
353 period, and the antibody levels against MAP only become detectable by the ELISA test at the
354 end of the incubation period, making this test ineffective for detecting infected animals in the
355 early infection period (Collins, 2003; Collins, 2011). Thus, the animals that are infected at a
356 young age (i.e., less than one year) will remain in the herd, shedding MAP through feces and
357 contaminating water and food, and will only present clinical signs in the adult phase. On the
358 other hand, it should be pointed out that the intra-herd prevalence ranged from 6.7% to 100%
359 (median of 20%).

360 Sertão mesoregion was identified as risk factor associated with herd-level prevalence,
361 and this mesoregion is the largest milk producer in the State of Paraíba. According to Nielsen
362 and Toft (2008), dairy herds have a high likelihood of being affected because confinement
363 produces conditions that contribute to the spread of the infection among the animals.
364 Furthermore, the Sertão mesoregion borders the States of Rio Grande do Norte, Ceará and
365 Pernambuco (Fig. 1), where there is an intense animal trade among these states without
366 knowing the sanitary condition of the animals. In general, paratuberculosis is an unknown
367 disease for most farmers in Paraíba State, and although the high herd-level and animal-level
368 prevalences found in this state, most farmers are not aware of the impact of the infection and

369 the economic losses that it can cause. Notably, most farms in the State of Paraíba are family
370 farming systems, and the largest amount of milk produced in the state comes from such
371 properties.

372 In the present survey, the existence of more than 12 adult animals in the herd was
373 identified as risk factor for MAP infection. MAP infections are thought to occur
374 predominantly early in life, with cattle up to 1 year of age thought to be most susceptible
375 (Windsor and Whittington, 2010). In fact, in larger herds, closer contact among animals exists
376 and paddocks that separate animals by age group are absent; thus, calves eventually have
377 contact with the facilities contaminated with feces from infected adult animals as well as with
378 udders contaminated with feces. Marcé et al. (2011) referred that a reduction in the exposure
379 of susceptible calves to any environment contaminated by adults led to a sharp decrease in
380 MAP prevalence and could lead to the fadeout of infection if exposure was decreased by
381 >90%, and that preventing the exposure of calves to adult feces was essential for controlling
382 MAP transmission within a dairy herd.

383 Not using maternity pens was also identified as risk factor for MAP infection.
384 Transmission of MAP is primarily thought to occur via the fecal-oral route, although
385 infections in utero and via ingestion of contaminated colostrum or milk are likely routes of
386 transmission (Sweeney, 1996), so that the use of maternity pens is a practice that help to
387 decrease calf exposure to feces, mainly if cleaning of the maternity pen is performed after
388 each use (Johnson-Ifeorulundu and Kaneene, 1998). Pithua et al. (2013) found that the
389 instantaneous hazard of a MAP test positive outcome was significantly lower for cows born in
390 individual calving pens (ICP) compared with herd mates born in group calving pens (GCP),
391 and that this protective effect was expected because removal of manure and other wastes from
392 the ICP after successive calving minimized early intake of infectious manure, curtailing the
393 level and duration of MAP transmission in this group of calves. It was found that the use of
394 maternity pens was referred in only 123 of the 480 herds sampled (Table 4), and it suggests
395 that efforts should be concentrated to encouraging the use of this management practice for
396 preventing transmission of MAP in the herds.

397 A matter of concern is the possible zoonotic link between CD and MAP, although
398 this association remains controversial and is the target of continuous debates in the scientific
399 community. However, MAP has been detected in raw and pasteurized milk (Grant et al.,
400 2002; O'Reilly et al., 2004; Ayele et al., 2005; Slana et al., 2009; Okura et al., 2012), and the
401 habit of consuming raw cow milk is common in the State of Paraíba, predominantly in family
402 farms. Therefore, the confirmation of a zoonotic link between MAP and CD could have

403 serious consequences for the dairy industry of the State of Paraíba and Brazil, the latter of
404 which assuming a prominent position in world milk production, with an estimated milk
405 production of around 37 billion liters.

406 According to Fernández-Silva et al. (2014), who conducted a systematic review of the
407 prevalence of paratuberculosis in cattle, goats, and sheep in Latin America and the Caribbean,
408 to date, six reports on herd-level prevalence for paratuberculosis in cattle in Brazil have been
409 published. However, because random sampling was not used in any of these studies, the
410 present study is the first one in Brazil to determine the prevalence of bovine paratuberculosis
411 at herd-level by using random sampling of herds and animals. In a review of prevalences of
412 paratuberculosis in farmed animals in Europe, Nielsen and Toft (2009) referred that many
413 studies have been conducted to estimate both the herd-level and the animal-level prevalences,
414 but corrections for sensitivity (Se) and specificity (Sp) prior to classification of the herd were
415 not included in the study design and data analyses, which could be a weakness in the present
416 study, however, herd-level case definition was based on the size of the population (cows aged
417 ≥ 24 months), number of females sampled, intra-herd apparent prevalence and the Se and Sp
418 of the diagnostic test used, which was important to minimize misclassification bias.

419

420 **5. Conclusion**

421

422 The results presented here suggest that MAP herd-level seroprevalence in the State of
423 Paraíba, Northeastern Brazil, is high, especially in the Sertão mesoregion, the largest milk
424 producer region in the state, and in larger herds (adult cattle). Our findings further support the
425 idea that the use of maternity pens will be important for preventing transmission of MAP in
426 the herds.

427

428

429 **Conflict of interest statement**

430 The authors declare that they have no conflict of interest.

431

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433

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629 **Figure caption**

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631 **Fig. 1.** Division of the State of Paraíba into three sampling groups, and geographical
632 distribution of positive and negative herds. Detail shows the State of Paraíba within Brazil.

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663 **Table1**

664 Census data of the cattle population in the State of Paraíba, Northeastern Brazil, according to
 665 sampling stratum.

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Sampling stratum	No. of herds		No. of cows \geq 24 months of age	
	Total	Sampled	Total	Sampled
Sertão	24,356	162	288,764	967
Borborema	11,603	154	83,428	754
Agreste/Zona da Mata	18,398	164	192,320	783
State of Paraíba	54,357	480	564,512	2,504

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689 **Table 2**

690 Herd-level prevalence for bovine paratuberculosis in the State of Paraíba, Northeastern Brazil,
 691 according to sampling stratum.

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Sampling stratum	No. of herds		Prevalence (%)	95% CI
	Tested	Positive		
Sertão	162	67	41.4	[34.0 – 49.1]
Borborema	154	41	26.6	[20.2 – 34.2]
Agreste/Zona da Mata	164	50	30.5	[23.9 – 38.0]
State of Paraíba	480	158	34.5	[30.2 – 39.1]

693 CI: Confidence interval

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711 **Table 3**

712 Animal-level prevalence for bovine paratuberculosis in the State of Paraíba, Northeastern
 713 Brazil, according to sampling stratum.

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Sampling stratum	Animals		Prevalence (%)	95% CI
	Tested	Positive		
Sertão	967	103	9.4	[7.3 – 12.1]
Borborema	754	63	7.9	[5.2 – 11.7]
Agreste/Zona da Mata	783	86	13.9	[6.2 – 28.3]
State of Paraíba	2,504	252	10.7	[7.3 – 15.4]

715 CI: Confidence interval

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733 **Table 4**
 734 Univariable analysis for risk factors associated with the herd-level prevalence of bovine
 735 paratuberculosis in the State of Paraíba, Northeastern Brazil.

Variables	Categories	No. of herds sampled	No. of positive herds (%)	<i>P</i>
Sampling stratum	Borborema	154	41 (26.6)	0.015*
	Agreste/Zona da Mata	164	50 (30.5)	
	Sertão	162	67 (41.4)	
Type of production	Beef	62	19 (30.6)	0.316
	Mixed	284	101 (35.6)	
	Milk	134	38 (28.4)	
Management system	Intensive	28	7 (25.0)	0.501
	Semi-intensive	283	91 (32.2)	
	Extensive	169	60 (35.5)	
No. of lactating cows	0 – 9	434	140 (32.3)	0.436
	> 9	46	18 (39.1)	
Daily milk production (litres)	0 - 5	242	68 (28.1)	0.067*
	6 - 15	132	48 (36.4)	
	> 15	106	42 (39.6)	
Use of artificial insemination	No	478	158 (33.1)	1.000
	Yes	2	0 (0)	
Predominant breed	Zebu	27	16 (59.3)	0.010*
	Crosbreed	408	129 (31.6)	
	European (dairy and beef)	45	13 (28.9)	
No. of cattle young stock	1 – 11 animals	365	120 (32.9)	1.000
	> 11 animais	115	38 (33.0)	
No. of cattle adult animals	1 – 12 animals	370	110 (29.7)	0.009*
	> 12 animais	110	48 (43.6)	
Presence of sheep/goats	No	295	99 (33.6)	0.781
	Yes	185	59 (31.9)	
Presence of horses	No	228	73 (32.0)	0.763
	Yes	252	85 (33.7)	
Presence of pigs	No	336	103 (30.7)	0.132*
	Yes	144	55 (38.2)	
Presence of poultry	No	176	60 (34.1)	0.752
	Yes	304	98 (32.2)	

Presence of wild canids	No	299	96 (32.1)	0.700
	Yes	181	62 (34.3)	
Presence of cervids	No	474	155 (32.7)	0.400
	Yes	6	3 (50.0)	
Presence of capybaras	No	477	158 (33.1)	0.554
	Yes	3	0 (0)	
Animal purchasing	No	315	97 (30.8)	0.206
	Yes	165	61 (37.0)	
Rental of pastures	No	373	118 (31.6)	0.318
	Yes	107	40 (37.4)	
Sharing of pastures	No	409	138 (33.7)	0.432
	Yes	71	20 (28.2)	
Presence of flooded pastures	No	319	100 (31.3)	0.354
	Yes	161	58 (36.0)	
Use of maternity pens	No	357	124 (34.7)	0.183*
	Yes	123	34 (27.6)	
Milk cooling	No	470	155 (33.0)	1.000
	Yes	10	3 (30.0)	
Veterinary assistance	No	401	128 (31.9)	0.360
	Yes	79	30 (38.0)	

736 * Variables selected and used in the multiple analysis ($P \leq 0.2$)

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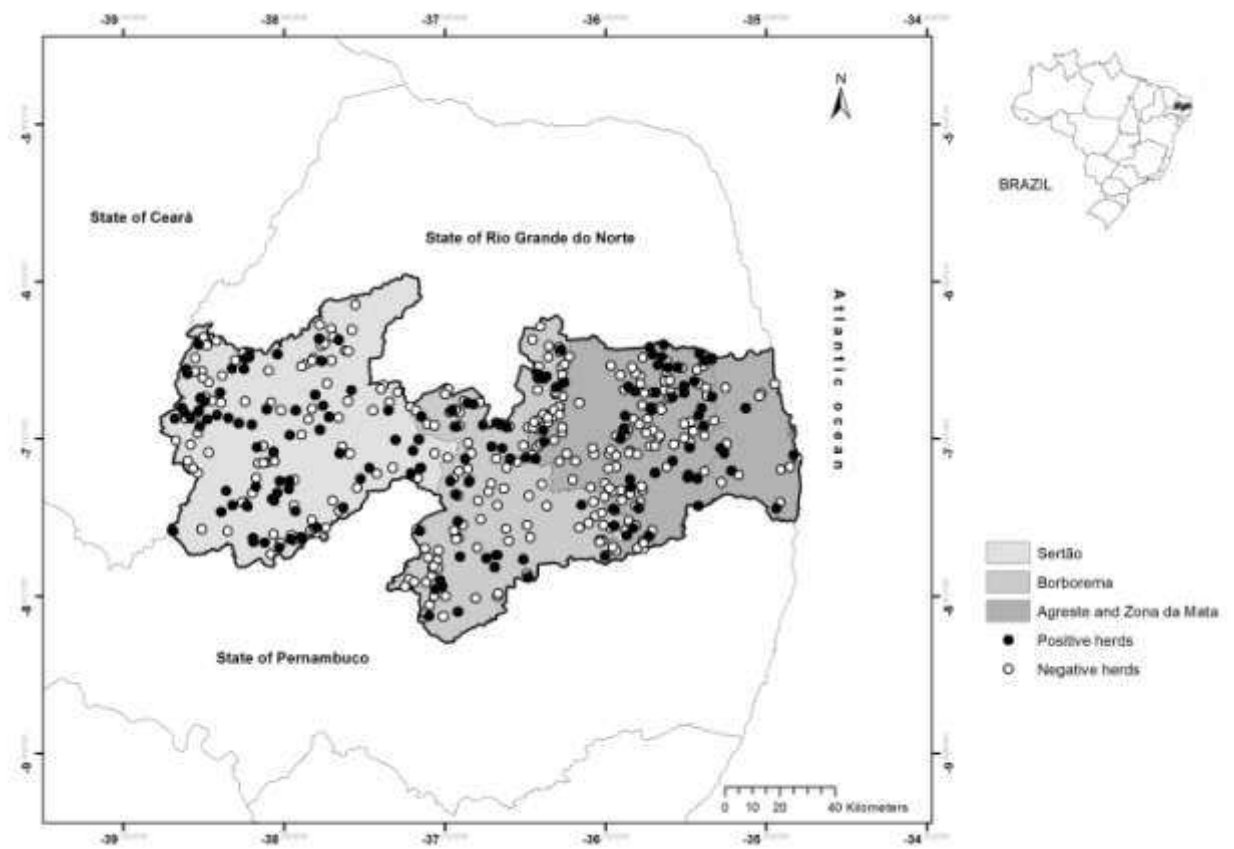
Table 5

Risk factors associated with herd-level prevalence of bovine paratuberculosis in the State of Paraíba, Northeastern Brazil.

Risk factors	Logistic regression coefficient	Standard error	Wald	Degrees of freedom	Odds ratio	95% CI	<i>P</i>
Sertão region	0.623	0.249	6.235	1	1.9	1.1 – 3.0	0.013
More than 12 adult animals	0.624	0.230	7.382	1	1.9	1.2 – 2.9	0.007
Not using maternity pens	0.540	0.251	4.620	1	1.7	1.0 – 2.8	0.032
Intercept	-1.843	0.301	37.411	1	0.2	...	< 0.001

Hosmer and Lemeshow chi-square = 6.146; degrees of freedom = 8; *P* = 0.631

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9 **Fig. 1**
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CHAPTER III

Spatial cluster analysis for bovine paratuberculosis in Paraíba State, Northeastern Brazil

Scientific paper submitted to the journal PESQUISA VETERINÁRIA BRASILEIRA
(Qualis A2)

47 **Spatial cluster analysis for bovine paratuberculosis in Paraíba State, Northeastern**
 48 **Brazil³**

49
 50 Sérgio S. Azevedo^{2*}, Ana L.T. Vilar², Carolina S.A.B. Santos³, Inácio J. Clementino³,
 51 Leise G. Fernandes², Clebert J. Alves²

52
 53 **ABSTRACT.-** Vilar A.L.T., Santos C.S.A.B., Clementino I.J., Fernandes L.G., Alves
 54 C.J. & Azevedo S.S. 2016. **Spatial cluster analysis for bovine paratuberculosis in**
 55 **Paraíba State, Northeastern Brazil.** *Pesquisa Veterinária Brasileira* 00(0):000-000.
 56 Unidade Acadêmica de Medicina Veterinária, Centro de Saúde e Tecnologia Rural,
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59 The aim of this survey was to identify spatial clustering of bovine
 60 paratuberculosis positive herds in the State of Paraíba, Northeastern Brazil. The state
 61 was divided into three sampling groups: sampling stratum 1 (mesoregion of Sertão),
 62 sampling stratum 2 (mesoregion of Borborema), and sampling stratum 3 (mesoregions
 63 of Zona da Mata and Agreste). Ten animals were sampled in herds with up to 99 cows
 64 aged over 24 months; 15 animals were sampled in herds with 100 or more cows aged
 65 over 24 months; and all animals were sampled in those with up to 10 cows aged over 24
 66 months. In total, 2504 cows aged \geq 24 months were sampled from 480 herds. Indirect
 67 enzyme-linked immunosorbant assay (ELISA) test kits were used for *Mycobacterium*
 68 *avium* subsp. *paratuberculosis* (MAP) antibody detection. A herd was deemed positive
 69 for paratuberculosis if it included at least one positive animal in herds of up to 24
 70 females, and two positive animals in herds with more than 24 females. Spatial clustering
 71 was assessed using the Cuzick-Edwards' *k*-nearest neighbor method and spatial
 72 scan statistics. Two significant clustering of positive herds were detected in
 73 Northern part of Borborema mesoregion, a border region with the State of Rio Grande do
 74 Norte, in which there is a large animal movement from different locations without
 75 knowing the sanitary condition of animals. As serological tests for MAP diagnosis are

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76 not widely available and are very expensive, as well as replacement or maintenance of
77 livestock by animal purchasing is common in the region, it is concluded that prevention
78 measures should be applied at herd level.

79

80 INDEX TERMS: Paratuberculosis, cattle, epidemiology, cluster analysis, control.

81

82

83 **RESUMO.**-[Análise de aglomerados espaciais para paratuberculose bovina no

84 **Estado da Paraíba, Nordeste do Brasil.]**O objetivo deste estudo foi identificar

85 agrupamentos espaciais de rebanhos positivos para paratuberculose bovina no Estado da

86 Paraíba, Nordeste do Brasil. O estado foi dividido em três grupos amostrais: estrato

87 amostral 1 (mesorregião do Sertão), estrato amostral 2 (mesorregião da Borborema), e

88 estrato amostral 3 (mesorregiões da Zona da Mata e Agreste). Dez animais foram

89 amostrados em rebanhos com até 99 vacas com idade maior ou igual a 24 meses; 15

90 animais foram amostrados em rebanhos com 100 ou mais vacas com idade maior ou

91 igual a 24 meses; e todos os animais foram amostrados naqueles rebanhos com até 10

92 vacas. No total, foram amostradas 2504 vacas com idade \geq 24 meses de 480 rebanhos.

93 Para a detecção de anticorpos anti-*Mycobacterium avium* subsp. *paratuberculosis*

94 (MAP) foram utilizados kits do teste imunoenzimático indireto (ELISA). Um rebanho

95 foi considerado positivo para paratuberculose se apresentasse pelo menos um animal

96 positivo em rebanhos de até 24 fêmeas, e dois animais positivos em rebanhos com mais

97 de 24 fêmeas. Os agrupamentos espaciais foram avaliados com o uso da metodologia *k*-

98 vizinhos mais próximos de Cuzick-Edwards e estatística espacial de varredura. Dois

99 agrupamentos significativos de rebanhos positivos foram detectados na parte norte da

100 mesorregião da Borborema, uma região de fronteira com o Estado do Rio Grande do

101 Norte onde há intenso movimento de animais de diferentes locais sem o conhecimento

102 do estado sanitário desses animais. Tendo em vista que os testes sorológicos para

103 diagnóstico de MAP não são amplamente disponíveis e muito caros, bem como é

104 comum na região a reposição e manutenção dos rebanhos por compra de animais,

105 conclui-se que medidas de prevenção devem ser aplicadas em nível de rebanho.

106

107 TERMOS DE INDEXAÇÃO: Paratuberculose, bovinos, epidemiologia, análise de

108 cluster, controle.

109

110 INTRODUCTION

111 Paratuberculosis is a chronic intestinal infection of global importance in mainly
112 domestic and wild ruminants caused by *Mycobacterium avium* subsp. *paratuberculosis*
113 (MAP). Paratuberculosis, also known as Johne's disease, can cause significant
114 economic losses in cattle primarily related to reduced milk production and premature
115 culling (Raizman et al. 2009), decreased value at slaughter (Kudahl & Nielsen 2009),
116 and eventual death (Kudahl et al. 2007), reduced feed efficiency, decreased fat and
117 protein content in the milk, decreased fertility, and increased incidence of mastitis
118 (Nielsen et al. 2008).

119 MAP can be transmitted via milk and colostrum from infectious animals and
120 intrauterine route (Streeter et al., 1995). Infected animals shed MAP in faeces and can
121 lead to widespread contamination of environment, including the presence of viable
122 MAP in settled dust particles suggesting potential transmission of MAP infection
123 through bio-aerosols (Eisenberg et al., 2010). In cattle, clinical cases can be diagnosed
124 without difficulty because chronic diarrhea in adult animals is indicative of the disease.
125 In the laboratory, a diagnosis can be made by isolating the agent from feces or necropsy
126 material, by histological study of the lesions, and polymerase chain reaction (PCR)
127 assays. Subclinical cases can be diagnosed by isolating the bacteria from the feces,
128 serological tests, or allergy tests (Lilenbaum et al. 2007). However, the assessment of
129 MAP infection status is subject to misclassification, especially low sensitivity of the
130 diagnostic test used in the control programme (Nielsen & Toft 2011).

131 In the State of Paraíba, a cross-sectional study based on a planned sampling was
132 carried out to determine the epidemiological situation of the disease (Vilar et al.
133 2015). The herd-level prevalence in the State of Paraíba was 34.5% (95% CI = 30.2%–
134 39.1%), 26.6% (95% CI = 20.2%–34.2%) in the region of Borborema, 30.5% (95% CI =
135 23.9%–38.0%) in Agreste/Mata, and 41.4% (95% CI = 34.0%–49.1%) in Sertão (Table
136 1). In understanding risk and controlling disease it is important to know the spatial
137 distribution of the disease in the environment. To date, there is no survey on spatial
138 clustering analysis for bovine paratuberculosis in Brazil. Spatial clustering analysis is a
139 useful tool to study the spread of infectious diseases in animal populations, and the
140 identification of clusters might yield important information about the transmission
141 and/or control of such diseases (Carpenter 2001). Thus, in the present study a spatial
142 cluster analysis was performed aiming to determine the spatial distribution of the
143 disease in the State of Paraíba.

144 MATERIALS AND METHODS

145 Data used in the present study were originated from the epidemiological survey
146 for bovine paratuberculosis in the State of Paraíba (Vilar et al. 2015). The state was
147 divided into three sampling groups: sampling stratum 1 (mesoregion of Sertão),
148 sampling stratum 2 (mesoregion of Borborema), and sampling stratum 3 (mesoregions
149 of Zona da Mata and Agreste) (Fig. 1). For each sampling stratum, a two-stage sampling
150 survey. In the first stage, a pre-established number of herds (primary sampling units)
151 were randomly selected; in the second stage, a pre-established number of cows aged \geq
152 24 months were randomly selected (secondary sampling units).

153 The number of selected herds per sampling stratum was determined by using the
154 formula for simple random samples proposed by Thrusfield (2007). The parameters
155 adopted for the calculation were as follows: 95% confidence level, 47.4% estimated
156 prevalence (Sá et al. 2013), and 8% error. Further, the operational and financial capacity
157 of the SEDAP was taken into consideration when determining the sample size of the
158 sampling stratum.

159 For the secondary units, the minimum number of animals to be examined within
160 each herd was estimated in order to allow its classification as positive herd. For this
161 purpose, the concept of aggregate sensitivity and specificity was used (Dohoo et al.
162 2003). For the calculations, the following values were adopted: 73.6% (Hendrick et al.
163 2005) and 98% (Sweeney et al. 1995) for the sensitivity and specificity, respectively, of
164 the test protocol and 37.9% (Fonseca et al. 2000) for the intra-herd estimated
165 prevalence. Herdacc version 3 software (Jordan 1995) was used during this process, and
166 the sample size was selected so that the herd sensitivity and specificity values would be
167 $\geq 90\%$. Therefore, 10 animals were sampled in herds with up to 99 cows aged over 24
168 months; 15 animals were sampled in herds with 100 or more cows aged over 24 months;
169 and all animals were sampled in those with up to 10 cows aged over 24 months. The
170 selection of the cows within the herds was systematic. In total, 2504 animals were
171 sampled from 480 cattle herds.

172 The target condition (Gardner et al. 2011) was a seropositive animal within an
173 infected herd. The herd-level case definition was based on the size of the population
174 (cows aged ≥ 24 months), number of females sampled, an intra-herd apparent
175 prevalence of 37.9% (Fonseca et al., 2000), and the sensitivity and specificity of the
176 diagnostic test used (indirect ELISA), with the goal of obtaining a herd sensitivity and
177 specificity of $\geq 90\%$. After new simulations using Herdacc software, a herd was deemed

178 positive for the presence of MAP if it included at least one positive animal in herds of
179 up to 24 females, and two positive animals in herds with more than 24 females.

180 The serological examination was performed according to the protocol
181 recommended by the kit for detection of antibodies to MAP (Pourquier-IDEXX ELISA
182 Paratuberculosis Screening Ab Test). To calculate the results, the reactions were
183 considered valid when the average of the positive control (PCx) had a minimum OD450
184 mean value of 0.350 and the coefficient between the average of the PCx and the
185 negative control (NC OD450) was ≥ 3.00 . Samples with percentages $\geq 70\%$ were
186 considered positive for the presence of antibodies against MAP.

187 Spatial clustering of bovine paratuberculosis positive herds was assessed using
188 two methods (Ward & Carpenter 2000). First, the Cuzick-Edwards' k -nearestneighbor
189 method (Cuzick & Edwards 1990) was used to detect the possibility of global spatial
190 clustering at herd level using the Cluster Seer 2.5.1 software (Bio Med ware, Ann
191 Arbor, MI, United States). Existence of potential spatial clustering was analyzed at each
192 of the first 10 neighborhood levels, and the overall p-value was adjusted for multiple
193 comparisons with the Simes approach. Further, scan statistics by the SatScan software
194 version 9.0 (Kulldorff & Nagarwalla 1995) was used to identify local clusters of
195 positive herds. A Bernoulli model was applied, the scanning window was circular, and
196 the spatial size of scan window was limited to 25% of the total population. Because of
197 the large proportion of positive herds (Table 1), analysis was not run on herd-level, and
198 then considering within-herd prevalence.

199

200

201 **RESULTS AND DISCUSSION**

202 Significant clusters were not identified (Simes $p > 0.05$) by the Cuzick and
203 Edwards' method for the entire Paraiba State. However, when considering the state
204 division into separate strata a significant global clustering (Simes $p < 0.05$) of positive
205 herds was detected by the Cuzick and Edwards' method at $k=3$ neighborhood level in
206 Borborema mesoregion. The results of the SatScan cluster analysis is shown in Table 2 and
207 Fig. 1. Using the Bernoulli model, two spatial clusters of positive herds with high
208 within-herd prevalence were detected in Northern part of Borborema mesoregion. In the
209 primary cluster, the number of herds was 4, the radius of the cluster was 14.57 km, and
210 the number of observed and expected cases (positive animals) were 11 and 2.60,
211 respectively, where the risk for infection was 4.91 (relative risk = 4.91; $p = 0.014$) times

212 higher in herds located inside cluster than in those located else where. In the secondary
213 cluster, the number of herds was 4, the radius of the cluster was 19.20 km, and the
214 number of observed and expected cases (positive animals) were 7 and 1.17,
215 respectively, and the risk for infection was 6.58 (relative risk = 6.58; $p = 0.022$).

216 In a survey to describe the spatial pattern of MAP prevalence throughout
217 Denmark it was found a number of significant clusters, identifying geographical areas
218 with higher apparent within-herd prevalence (Bihrmann et al. 2012). This study found
219 consistency between kriging and scan statistics results with respect to location of areas
220 with high apparent within-herd prevalence of MAP. However, these authors did not take
221 any covariate information into account. Recently, Bihrmann et al. (2016) identified the
222 spatial pattern in infection prevalence in Denmark and found a significant spatial
223 component, suggesting that the estimated range of influence and the overall location of
224 areas with increased prevalence are not very sensitive to diagnostic misclassification.

225 In the present study there was a lack of spatial cluster of bovine
226 paratuberculosis positive herds throughout the Paraíba State, but spatial clusters were
227 identified when considering the separate mesoregions. However, it can be inferred that
228 these clusters cannot be explained by spatially structured factors as referred by Ávila et
229 al. (2013), which detected cluster for bovine tuberculosis in Bahia State only when
230 analyzed regions separately. The geographic division (Sertão, Borborema, Agreste/Zona
231 da Mata) created in this study for analysis purposes is not subject to real parameters
232 occurrence of paratuberculosis, and does not respect geographical boundaries. Thus, the
233 clusters found in the Borborema region can be explained by being a border region with
234 the State of Rio Grande do Norte, in which there is a large movement of animals from
235 different locations without knowing the sanitary condition of the animals, which may
236 result in a greater number of traded animals subclinically infected with MAP.

237 The detection of spatial clustering is a complex methodology and has
238 limitations, however, the obtainment of more accurate results and security for decision-
239 making lead to a greater efficiency of sanitary defense actions (Ávila et al. 2013). In
240 this context, it is not plausible to suggest measures based on animal testing prior to
241 purchasing because serological tests for MAP diagnosis are not widely available and are
242 very expensive, as well as replacement or maintenance of livestock by animal
243 purchasing is common in the region. Furthermore, in general, the design quality,
244 implementation, and reporting of test results for paratuberculosis have been generally
245 poor (Nielsen & Toft 2008). Therefore, measures should be based on the prevention of

246 the disease at herd level, such as keep calves in areas free of manure and raised separate
247 from adults until at least one year old, reducing fecal contamination in animal housing
248 areas by elevating food and water sources, and using colostrum only from the dam of
249 the calf (Nielsen et al. 2008, OIE 2016).

250

251

252 **CONCLUSION**

253 We detected two spatial clusters of cattle herds with a high within-herd
254 seroprevalence of paratuberculosis in the State of Paraíba, in a border region with the
255 State of Rio Grande do Norte, which suggests a between-states trade of infected
256 animals. It is also suggested that paratuberculosis prevention measures should be
257 applied at herd level.

258

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381 **Figure caption**

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383 **Fig. 1. Significant clusters of cattle herds with a high within-herd prevalence of**
384 **paratuberculosis in Paraíba State. Primary cluster: circular red line; secondary**
385 **cluster: circular dark line. Detail shows Paraíba State within Brazil.**

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413 **Table 1. Census data of the cattle population in the State of Paraíba, Northeastern**
 414 **Brazil, according to sampling stratum, and herd-level prevalence for bovine**
 415 **paratuberculosis.**

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Sampling stratum	Total no. of herds	No. of herds		Prevalence (%)	95% CI
		Tested	Positive		
Sertão	24,356	162	67	41.4	[34.0 – 49.1]
Borborema	11,603	154	41	26.6	[20.2 – 34.2]
Agreste/Zona da Mata	18,398	164	50	30.5	[23.9 – 38.0]
State of Paraíba	54,357	480	158	34.5	[30.2 – 39.1]

417 **Source:** Vilar et al. (2015)

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429 **Table 2. Statistically significant clusters of herds with a high within-herd**
 430 **prevalence of paratuberculosis in the State of Paraíba.**

Radius (km)	No. of herds in cluster	No. of cases in cluster		RR ^a	p-value
		Observed	Expected		
14.57 ^b	4	11	2.60	4.91	0.014
19.20	4	7	1.17	6.58	0.022

431 ^a Relative risk

432 ^b Primary cluster

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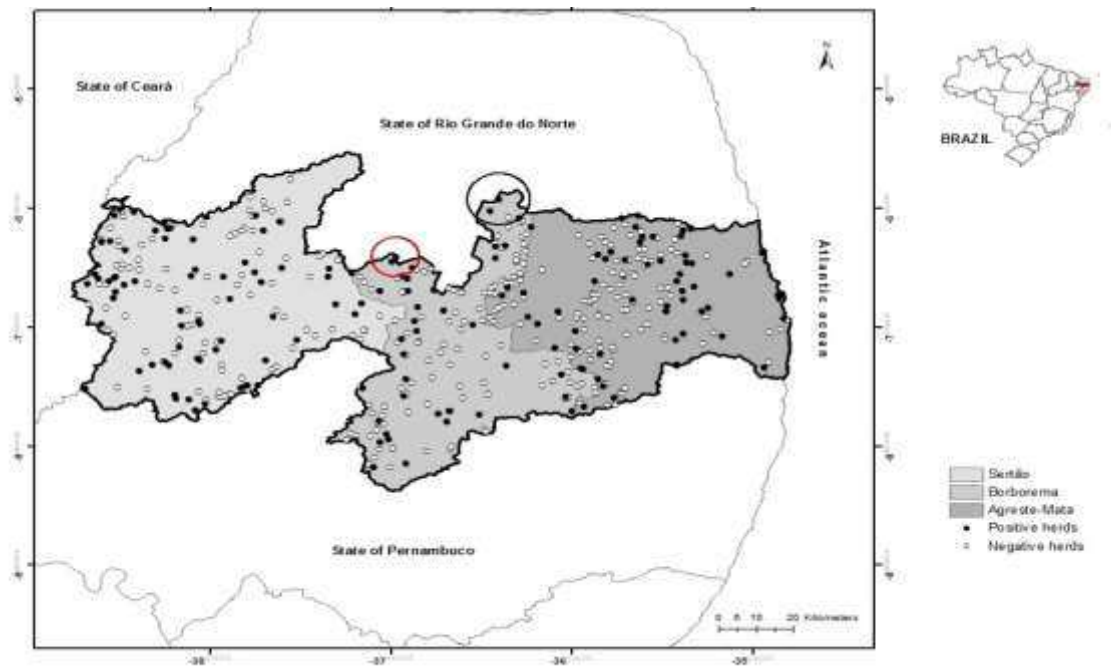
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460 Fig. 1

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FINAL CONSIDERATIONS

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473 The present study has important as regards the absence of epidemiological
474 studies of paratuberculosis in cattle conducted at state level based on planned sample
475 and the collection of epidemiological important indicator was possible. According to the
476 results it can be seen that there is a high prevalence of positive herds in the state of
477 Paraiba, and that the knowledge of this reality is important for decision-making and
478 future control measures. It was also possible to identify risk factors associated with the
479 presence status (positive property), and the determination of areas with greater spread of
480 risk of infection, which should also be taken into account in planning future actions.

481

482 On the other hand, it is important to concentrate efforts with regard to the
483 conduction of studies aimed at identifying infected animals with clinical symptoms, as
484 well as carrying out insulation work and identification of the agent from infected
485 animals and dairy products, once the disease, and the recognized economic impact, can
486 be related to zoonotic occurrence of Crohn's disease in humans.

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ATTACHMENTS

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ATTACHMENT I

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561 suplementar) do comprovante de tradução ou correção de um dos seguintes tradutores:

562 American Journal Experts

563 Editage Elsevier <http://www.proof-reading-service.com> <http://www.academic-editing-services.com/> <http://www.publicase.com.br/formulario.asp>

565 O autor principal deverá anexar no sistema o documento comprobatório dessa correção
566 na página de submissão em “Docs. Sup.”

567

568 **OBSERVAÇÕES:**

569 1) Os manuscritos originais submetidos à avaliação são inicialmente apreciados pelo
570 Comitê Editorial da Semina: Ciências Agrárias. Nessa análise, são avaliados os
571 requisitos de qualidade para publicação na revista, como: escopo; adequação às normas
572 da revista; qualidade da redação; fundamentação teórica; atualização da revisão da
573 literatura; coerência e precisão da metodologia; contribuição dos resultados; discussão
574 dos dados observados; apresentação das tabelas e figuras; originalidade e consistência

575 das conclusões. Se o número de trabalhos com manuscrito ultrapassar a capacidade de
576 análise e de publicação da Semina: Ciências Agrárias é feita uma comparação entre as
577 submissões, e são encaminhados para assessoria Ad hoc, os trabalhos considerados com
578 maior potencial de contribuição para o avanço do conhecimento científico. Os trabalhos
579 não aprovados nesses critérios são arquivados e os demais são submetidos a análise de
580 pelo menos dois assessores científicos, especialistas da área técnica do artigo, sem a
581 identificação do(s) autor(es). Os autores cujos artigos forem arquivados, não terão
582 direito à devolução da taxa de submissão.

583 2) Quando for o caso, deve ser informado que o projeto de pesquisa que originou o
584 artigo foi executado obedecendo às normas técnicas de biosegurança e ética sob a
585 aprovação da comissão de ética envolvendo seres humanos e/ou comissão de ética no
586 uso de animais (nome da Comissão, Instituição e nº do Processo).

587

588 **NÃO SERÃO ACEITOS MANUSCRITOS EM QUE:**

589 a) O arquivo do artigo anexado do trabalho contenha os nomes dos autores e respectiva
590 afiliação; b) Não tenha sido realizado o cadastro completo de todos os autores nos
591 metadados de submissão; Exemplo: Nome completo; Instituição/Afiliação; País;
592 Resumo da Biografia/Titulação/função c) Não tenha sido incluído no campo
593 **COMENTÁRIOS PARA O EDITOR**, um texto que aponte a relevância do trabalho
594 (importância e diferencial em relação a trabalhos já existentes), em até 10 linhas; d) Não
595 estejam acompanhados de documento comprobatório da taxa de submissão, em
596 documento suplementar “Docs. Sup.” no ato da submissão; e) Não estejam
597 acompanhados dos seguintes documentos suplementares: gráficos, figuras, fotos e
598 outros, **EM VERSÃO ORIGINAL**. (Formato JPEG; TIFF; EXCEL)
599 f) Não constem no artigo original: título, resumo e palavras-chave em português e
600 inglês, tabelas e figuras.

601

602 **RESTRIÇÃO POR ÁREA: PARA A ÁREA DE AGRONOMIA NÃO SERÃO**
603 **ACEITOS MANUSCRITOS EM QUE:**

604 a) Os experimentos com cultura in vitro sejam limitados ao melhoramento dos
605 protocolos já padronizados ou que não forneçam novas informações na área;
606 b) Os experimentos de campo não incluam dados de pelo menos dois anos ou de várias
607 localidades dentro do mesmo ano;
608 c) Os experimentos se refiram apenas a testes sobre a eficiência de produtos comerciais
609 contra agentes bióticos, abióticos ou estresses fisiológicos;
610 d) Envolvam apenas bioensaios (screening) de eficácia de métodos de controle de
611 insetos, ácaros ou doenças de plantas, exceto se contiverem contribuição importante
612 sobre mecanismos de ação numa perspectiva de fronteira do conhecimento;
613 e) O objetivo seja limitado a registrar a ocorrência de espécies de pragas ou patógenos
614 ou associações entre hospedeiros em novas localidades dentro de regiões geográficas
615 onde eles já sejam conhecidos. Registros de espécies ou associações conhecidas só
616 serão considerados em novas zonas ecológicas. Os registros de distribuição devem se
617 basear em ecossistemas, e não em fronteiras políticas.

618

619 **PARA A ÁREA DE VETERINÁRIA**

620 a) A publicação de relatos de casos é restrita e somente serão selecionados para
621 tramitação àqueles de grande relevância ou ineditismo, com real contribuição ao avanço
622 do conhecimento para a área relacionada.

623 **Categorias dos Trabalhos**

624 a) Artigos científicos: no máximo 20 páginas incluindo figuras, tabelas e referências
625 bibliográficas;

626 b) Comunicações científicas: no máximo 12 páginas, com referências bibliográficas
627 limitadas a 16 citações e no máximo duas tabelas ou duas figuras ou uma tabela e uma
628 figura;

629 b) Relatos de casos: No máximo 10 páginas, com referências bibliográficas limitadas a
630 12 citações e no máximo duas tabelas ou duas figuras ou uma tabela e uma figura;

631 c) Artigos de revisão: no máximo 25 páginas incluindo figuras, tabelas e referências
632 bibliográficas.

633 Apresentação dos Trabalhos

634 Os originais completos dos artigos, comunicações, relatos de casos e revisões podem ser
635 escritos em português ou inglês no editor de texto Word for Windows, em papel A4,
636 com numeração de linhas por página, espaçamento 1,5, fonte Times New Roman,
637 tamanho 11 normal, com margens esquerda e direita de 2 cm e superior e inferior de 2
638 cm, respeitando-se o número de páginas, devidamente numeradas no canto superior
639 direito, de acordo com a categoria do trabalho.

640 *Figuras (desenhos, gráficos e fotografias) e Tabelas* serão numeradas em algarismos
641 arábicos e devem ser incluídas no final do trabalho, imediatamente após as referências
642 bibliográficas, com suas respectivas chamadas no texto. Além disso, as figuras devem
643 apresentar boa qualidade e deverão ser anexadas nos seus formatos originais (JPEG,
644 TIF, etc) em “Docs Supl.” na página de submissão. Não serão aceitas figuras e tabelas
645 fora das seguintes especificações: Figuras e tabelas deverão ser apresentadas nas
646 larguras de 8 ou 16 cm com altura máxima de 22 cm, lembrando que se houver a
647 necessidade de dimensões maiores, no processo de editoração haverá redução para as
648 referidas dimensões.

649 Observação: Para as tabelas e figuras em qualquer que seja a ilustração, o título deve
650 figurar na parte superior da mesma, seguida de seu número de ordem de ocorrência em
651 algarismo arábico, ponto e o respectivo título.

652 Indicar a fonte consultada abaixo da tabela ou figura (elemento obrigatório). Utilizar
653 fonte menor (Times New Roman 10).

654 Citar a autoria da fonte somente quando as tabelas ou figuras não forem do autor.

655 Ex: Fonte: IBGE (2014), ou Source: IBGE (2014).

656 Preparação dos manuscritos

657 Artigo científico:

658 Deve relatar resultados de pesquisa original das áreas afins, com a seguinte organização
659 dos tópicos: Título; Título em inglês; Resumo com Palavras-chave (no máximo seis
660 palavras, em ordem alfabética); Abstract com Key words (no máximo seis palavras, em
661 ordem alfabética); Introdução; Material e Métodos; Resultados e Discussão com as
662 conclusões no final da discussão ou Resultados; Discussão e Conclusões
663 separadamente; Agradecimentos; Fornecedores, quando houver e Referências
664 Bibliográficas. Os tópicos devem ser destacados em negrito, sem numeração, quando
665 houver a necessidade de subitens dentro dos tópicos, os mesmos devem ser destacados
666 em itálico e se houver dentro do subitem mais divisões, essas devem receber números
667 arábicos. (Ex. Material e Métodos... *Áreas de estudo...1. Área rural...2. Área urbana*).

668 O trabalho submetido não pode ter sido publicado em outra revista com o mesmo
669 conteúdo, exceto na forma de resumo em Eventos Científicos, Nota Prévia ou Formato
670 Reduzido.

671 A apresentação do trabalho deve obedecer à seguinte ordem:

672 1. Título do trabalho, acompanhado de sua tradução para o inglês.

- 673 2. Resumo e Palavras-chave: Deve ser incluído um resumo informativo com um mínimo
674 de 200 e um máximo de 400 palavras, na mesma língua que o artigo foi escrito,
675 acompanhado de sua tradução para o inglês (*Abstract e Key words*).
- 676 3. Introdução: Deverá ser concisa e conter revisão estritamente necessária à introdução
677 do tema e suporte para a metodologia e discussão.
- 678 4. Material e Métodos: Poderá ser apresentado de forma descritiva contínua ou com
679 subitens, de forma a permitir ao leitor a compreensão e reprodução da metodologia
680 citada com auxílio ou não de citações bibliográficas.
- 681 5. Resultados e Discussão: Devem ser apresentados de forma clara, com auxílio de
682 tabelas, gráficos e figuras, de modo a não deixar dúvidas ao leitor, quanto à
683 autenticidade dos resultados e pontos de vistas discutidos. Opcionalmente, as
684 conclusões podem estar no final da discussão.
- 685 6. Conclusões: Devem ser claras e de acordo com os objetivos propostos no trabalho.
- 686 7. Agradecimentos: As pessoas, instituições e empresas que contribuíram na realização
687 do trabalho deverão ser mencionadas no final do texto, antes do item Referências
688 Bibliográficas.
- 689 Observações:
- 690 Notas: Notas referentes ao corpo do artigo devem ser indicadas com um símbolo
691 sobrescrito, imediatamente depois da frase a que diz respeito, como notas de rodapé no
692 final da página.
- 693 Figuras: Quando indispensáveis figuras poderão ser aceitas e deverão ser assinaladas no
694 texto pelo seu número de ordem em algarismos arábicos. Se as ilustrações enviadas já
695 foram publicadas, mencionar a fonte e a permissão para reprodução.
- 696 Tabelas: As tabelas deverão ser acompanhadas de cabeçalho que permita compreender o
697 significado dos dados reunidos, sem necessidade de referência ao texto.
- 698 Grandezas, unidades e símbolos:
- 699 a) Os manuscritos devem obedecer aos critérios estabelecidos nos Códigos
700 Internacionais de cada área.
- 701 b) Utilizar o Sistema Internacional de Unidades em todo texto.
- 702 c) Utilizar o formato potência negativa para notar e inter-relacionar unidades, e.g.: kg
703 ha⁻¹. Não inter-relacione unidades usando a barra vertical, e.g.: kg/ha.
- 704 d) Utilizar um espaço simples entre as unidades, g L⁻¹, e não g.L⁻¹ ou gL⁻¹.
- 705 e) Usar o sistema horário de 24 h, com quatro dígitos para horas e minutos: 09h00,
706 18h30.
- 707 8. Citações dos autores no texto
- 708 Deverá seguir o sistema de chamada alfabética seguidas do ano de publicação de acordo
709 com os seguintes exemplos:
- 710 a) Os resultados de Dubey (2001) confirmaram que
- 711 b) De acordo com Santos et al. (1999), o efeito do nitrogênio.....
- 712 c) Beloti et al. (1999b) avaliaram a qualidade microbiológica.....
- 713 d) [...] e inibir o teste de formação de sincício (BRUCK et al., 1992).
- 714 e) [...] comprometendo a qualidade de seus derivados (AFONSO; VIANNI, 1995).
- 715 Citações com dois autores
- 716 Citações onde são mencionados dois autores, separar por ponto e vírgula quando
717 estiverem citados dentro dos parênteses.
- 718 Ex: (PINHEIRO; CAVALCANTI, 2000).
- 719 Quando os autores estiverem incluídos na sentença, utilizar o (e)
- 720 Ex: Pinheiro e Cavalcanti (2000).
- 721 Citações com mais de dois autores
- 722 Indicar o primeiro autor seguido da expressão et al.

723 Dentro do parêntese, separar por ponto e vírgula quando houver mais de uma referência.
724 Ex: (RUSSO et al., 2000) ou Russo et al. (2000); (RUSSO et al., 2000; FELIX et al.,
725 2008).

726 Para citações de diversos documentos de um mesmo autor, publicados no mesmo ano,
727 utilizar o acréscimo de letras minúsculas, ordenados alfabeticamente após a data e sem
728 espaçamento.

729 Ex: (SILVA, 1999a, 1999b).

730 As citações indiretas de diversos documentos de um mesmo autor, publicados em anos
731 diferentes, separar as datas por vírgula.

732 Ex: (ANDRADE, 1999, 2000, 2002).

733 Para citações indiretas de vários documentos de diversos autores, mencionados
734 simultaneamente, devem figurar em ordem alfabética, separados por ponto e vírgula.

735 Ex: (BACARAT, 2008; RODRIGUES, 2003).

736 9. Referências: As referências, redigidas segundo a norma NBR 6023, ago. 2000, e
737 reformulação número 14.724 de 2011 da ABNT, deverão ser listadas na ordem
738 alfabética no final do artigo. Todos os autores participantes dos trabalhos deverão ser
739 relacionados, independentemente do número de participantes. A exatidão e adequação
740 das referências a trabalhos que tenham sido consultados e mencionados no texto do
741 artigo, bem como opiniões, conceitos e afirmações são da inteira responsabilidade dos
742 autores.

743 Observação: Consultar os últimos fascículos publicados para mais detalhes de como
744 fazer as referências do artigo.

745 As outras categorias de trabalhos (Comunicação científica, Relato de caso e Revisão)
746 deverão seguir as mesmas normas acima citadas, porém, com as seguintes orientações
747 adicionais para cada caso:

748 Comunicação científica

749 Uma forma concisa, mas com descrição completa de uma pesquisa pontual ou em
750 andamento (nota prévia), com documentação bibliográfica e metodologias completas,
751 como um artigo científico regular. Deverá conter os seguintes tópicos: Título (português
752 e inglês); Resumo com Palavras-chave; Abstract com Key words; Corpo do trabalho
753 sem divisão de tópicos, porém seguindo a sequência - introdução, metodologia,
754 resultados (podem ser incluídas tabelas e figuras), discussão, conclusão e referências
755 bibliográficas.

756 Relato de caso

757 Descrição sucinta de casos clínicos e patológicos, resultados inéditos, descrição de
758 novas espécies e estudos de ocorrência ou incidência de pragas, microrganismos ou
759 parasitas de interesse agrônômico, zootécnico ou veterinário. Deverá conter os seguintes
760 tópicos: Título (português e inglês); Resumo com Palavras-chave; Abstract com Key
761 words; Introdução com revisão da literatura; Relato do (s) caso (s), incluindo resultados,
762 discussão e conclusão; Referências Bibliográficas.

763 Artigo de revisão bibliográfica

764 Deve envolver temas relevantes dentro do escopo da revista. O número de artigos de
765 revisão por fascículo é limitado e os autores somente poderão apresentar artigos de
766 interesse da revista mediante convite de membro(s) do comitê editorial da Revista. No
767 caso de envio espontâneo do autor (es), é necessária a inclusão de resultados relevantes
768 próprios ou do grupo envolvido no artigo, com referências bibliográficas, demonstrando
769 experiência e conhecimento sobre o tema.

770 O artigo de revisão deverá conter os seguintes tópicos: Título (português e inglês);
771 Resumo com Palavras-chave; Abstract com Key words; Desenvolvimento do tema

772 proposto (com subdivisões em tópicos ou não); Conclusões ou Considerações Finais;
773 Agradecimentos (se for o caso) e Referências Bibliográficas.

774 Outras informações importantes

775 1. A publicação dos trabalhos depende de pareceres favoráveis da assessoria científica
776 "Ad hoc" e da aprovação do Comitê Editorial da Semina: Ciências Agrárias, UEL.

777 2. Não serão fornecidas separatas aos autores, uma vez que os fascículos estarão
778 disponíveis no endereço eletrônico da revista (<http://www.uel.br/revistas/uel>).

779 4. Transferência de direitos autorais: Os autores concordam com a transferência dos
780 direitos de publicação do referido artigo para a revista. A reprodução de artigos somente
781 é permitida com a citação da fonte e é proibido o uso comercial das informações.

782 5. As questões e problemas não previstos na presente norma serão dirimidos pelo
783 Comitê Editorial da área para a qual foi submetido o artigo para publicação.

784 6. *Numero de autores*: Não há limitação para número de autores, mas deverão fazer
785 parte como co-autores aquelas pessoas que efetivamente participaram do trabalho.
786 Pessoas que tiveram uma pequena participação no artigo deverão ser citadas no tópico
787 de Agradecimentos, bem como instituições que concederam bolsas e recursos
788 financeiros.

789 Condições para submissão

790 Como parte do processo de submissão, os autores devem verificar a conformidade da
791 submissão em relação a todos os itens listados a seguir. As submissões que não
792 estiverem de acordo com as normas serão rejeitadas e aos autores informados da
793 decisão.

794 1. Os autores devem informar que a contribuição é original e inédita, e não está sendo
795 avaliada para publicação por outra revista; caso contrário, deve-se justificar em
796 "Comentários ao Editor".

797 2. Devem informar ainda que o material está corretamente formatado e que os
798 Documentos Suplementares estão anexados, ESTANDO CIENTE que a formatação
799 incorreta importará na SUSPENSÃO do processo de avaliação SEM AVALIAÇÃO DE
800 MÉRITO.

801 3. Devem ser preenchidos dados de autoria de todos os autores no campo Metadados
802 durante o processo de submissão.

803 Utilize o botão "incluir autor"

804 1. No passo seguinte preencher os metadados em inglês.

805 Para incluí-los, após salvar os dados de submissão em português, clicar em "editar
806 metadados" no topo da página - alterar o idioma para o inglês e inserir: título em inglês,
807 abstract e key words. Salvar e ir para o passo seguinte.

808 1. A identificação de autoria do trabalho deve ser removida do arquivo e da opção
809 Propriedades no Word, garantindo desta forma o critério de sigilo da revista, caso
810 submetido para avaliação por pares (ex.: artigos), conforme instruções disponíveis em
811 Assegurando a Avaliação Cega por Pares.

812 2. Os arquivos para submissão devem estar em formato Microsoft Word, OpenOffice ou
813 RTF (desde que não ultrapassem 2MB)

814 O texto deve estar em folha A4, com linhas numeradas, espaço 1,5; fonte Time New
815 roman de tamanho 11;

816 1. Atestar que foram seguidas todas as normas éticas, em caso de pesquisa com seres
817 vivos, estando de posse dos documentos comprobatórios de aprovação pela comissão de
818 ética envolvendo seres humanos e/ou comissão de ética no uso de animais caso sejam
819 solicitados.

820 2. Efetuar o pagamento da Taxa de Submissão de artigos e anexar o comprovante como
821 documento suplementar "Docs. Sup."

822 Declaração de Direito Autoral

823 Os Direitos Autorais para artigos publicados nesta revista são de direito do autor. Em
824 virtude da aparecerem nesta revista de acesso público, os artigos são de uso gratuito,
825 com atribuições próprias, em aplicações educacionais e não-comerciais.

826 A revista se reserva o direito de efetuar, nos originais, alterações de ordem normativa,
827 ortográfica e gramatical, com vistas a manter o padrão culto da língua e a credibilidade
828 do veículo. Respeitará, no entanto, o estilo de escrever dos autores.

829 Alterações, correções ou sugestões de ordem conceitual serão encaminhadas aos
830 autores, quando necessário.

831 As opiniões emitidas pelos autores dos artigos são de sua exclusiva responsabilidade.

832 Política de Privacidade:

833 Os nomes e endereços informados nesta revista serão usados exclusivamente para os
834 serviços prestados por esta publicação, não sendo disponibilizados para outras
835 finalidades ou a terceiros.

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ATTACHMENT II

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Author guidelines - *PREVENTIVE VETERINARY MEDICINE*

855 Preventive Veterinary Medicine's Editors and reviewers use several published
856 guidelines for reporting standards; the websites are listed in the Appendix to this Guide
857 for Authors. Conformation to these reporting standards allows our Editors and
858 reviewers to judge the quality and originality of your work; conformation also offers
859 readers sufficient information to judge the relevance of your study to the readers' own
860 situations. Omission of substantive items from relevant guidelines for reporting
861 standards is sufficient reason to reject your manuscript. Thus, we highly encourage you
862 to review this Guide for Authors and the relevant suggested website for your study.

863 You must append (to your initial manuscript submission) a copy of the relevant
864 checklist(s) from listed websites such as STARD or REFLECT but not our own Guide
865 for Authors. On the checklist, indicate the items you consider to be addressed within
866 your paper. It remains the responsibility of the reviewers and Editors to decide whether
867 the manner in which you addressed the checklist items is adequate for publication in
868 Preventive Veterinary Medicine.

869 Types of contribution Original research papers (Regular Papers) Review articles Short
870 communications Letters to the Editor *Original research papers* should report the results
871 of original research. The material should not have been previously published elsewhere,
872 except in a preliminary form.

873 *Review articles* should cover subjects falling within the scope of the journal which are
874 of active current interest.

875 A *Short Communication* is a concise but complete description of a limited investigation,
876 which will not be included in a later paper. Short Communications should be as
877 completely documented, both by reference to the literature and description of the
878 experimental procedures employed, as a regular paper. They should not occupy more
879 than 6 printed pages (about 12 manuscript pages, including figures, tables and
880 references).

881 *Letters to the Editor* offering comment, or useful critique on material published in the
882 journal are welcomed. The decision to publish submitted letters rests purely with the
883 Editor-in-Chief. Any letter received, and approved for publication, will be sent to the
884 Corresponding Author of the paper to which it refers for a response. Both letter and
885 response (if received) will then be published together. It is hoped that the publication of
886 such letters will permit an exchange of views which will be of benefit to both the
887 journal and its readers.

888 BEFORE YOU BEGIN

889 *Ethics in publishing*

890 Please see our information pages on Ethics in publishing and Ethical guidelines for
891 journal publication.

892 *Ethics in Animal Experimentation*

893 Circumstances relating to animal experimentation must meet the International Guiding
894 Principles for Biomedical Research Involving Animals as issued by the Council for the
895 International Organizations of Medical Sciences. They are obtainable from: Executive
896 Secretary

897 C.I.O.M.S., c/o WHO, Via Appia, CH-1211 Geneva 27, Switzerland, or at the following
898 URL:

899 http://www.cioms.ch/publications/guidelines/1985_texts_of_guidelines.htm.
900 Unnecessary cruelty in animal experimentation is not acceptable to the Editors of
901 *Preventive Veterinary Medicine*.

902 *Declaration of interest*

903 All authors must disclose any financial and personal relationships with other people or
904 organizations that could inappropriately influence (bias) their work. Examples of
905 potential conflicts of interest include employment, consultancies, stock ownership,
906 honoraria, paid expert testimony, patent applications/ registrations, and grants or other
907 funding. If there are no conflicts of interest then please state this:
908 'Conflicts of interest: none'. More information.

909 *Submission declaration and verification*

910 Submission of an article implies that the work described has not been published
911 previously (except in the form of an abstract or as part of a published lecture or
912 academic thesis or as an electronic preprint, see 'Multiple, redundant or concurrent
913 publication' section of our ethics policy for more information), that it is not under
914 consideration for publication elsewhere, that its publication is approved by all authors
915 and tacitly or explicitly by the responsible authorities where the work was carried out,
916 and that, if accepted, it will not be published elsewhere in the same form, in English or
917 in any other language, including electronically without the written consent of the
918 copyright-holder. To verify originality, your article may be checked by the originality
919 detection service CrossCheck. *Authorship* All authors should have made substantial
920 contributions to all of the following: (1) the conception and design of the study, or
921 acquisition of data, or analysis and interpretation of data, (2) drafting the article or
922 revising it critically for important intellectual content, (3) final approval of the version
923 to be submitted.

924 *Changes to authorship*

925 Authors are expected to consider carefully the list and order of authors before
926 submitting their manuscript and provide the definitive list of authors at the time of the
927 original submission. Any addition, deletion or rearrangement of author names in the
928 authorship list should be made only before the manuscript has been accepted and only if
929 approved by the journal Editor. To request such a change, the Editor must receive the
930 following from the corresponding author: (a) the reason for the change in author list and
931 (b) written confirmation (e-mail, letter) from all authors that they agree with the
932 addition, removal or rearrangement. In the case of addition or removal of authors, this
933 includes confirmation from the author being added or removed. Only in exceptional
934 circumstances will the Editor consider the addition, deletion or rearrangement of authors
935 after the manuscript has been accepted. While the Editor considers the request,
936 publication of the manuscript will be suspended. If the manuscript has already been
937 published in an online issue, any requests approved by the Editor will result in a
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 1399 e. Methods used for active monitoring for adverse effects ("harms")
 1400 4. For simulation studies and risk assessments:
 1401 a. Distinction between deterministic and stochastic processes
 1402 b. Descriptions of (and justifications for) all choices of distributions and their parameter
 1403 Values
 1404 c. Description of numbers, training, experience, and representativeness of any "experts"
 1405 used to provide opinions
 1406 d. Declaration of the stakeholders for any risk assessment
 1407 e. Distinction between assumptions, input data, calculations from intermediate steps in
 1408 the modeling process, and model predictions
 1409 f. Descriptions of the assumed chance variation and assumed knowledge uncertainty in
 1410 the inputs, and methods used to deal with those sources of total uncertainty
 1411 g. Sensitivity analyses of key assumptions and of the input variables that had the
 1412 greatest uncertainty
 1413 h. Descriptions of the variability in the "outputs" from stochastic models
 1414 5. For statistical-hypothesis tests:
 1415 a. Declarations of the unit of statistical analysis and of the dependent ("outcome")
 1416 variable
 1417 b. Alpha and tails, and any methods used to adjust for multiple comparisons (to protect
 1418 experimentwise alpha from the problem of multiplicity)
 1419 c. Methods used to adjust for clustering within the data
 1420 d. Methods used to determine that the statistical assumptions were met (e.g., that the
 1421 data were Gaussian or that the odds ratio or hazards ratio was constant across the
 1422 observed range of the risk factor)
 1423 e. Methods used to look for collinearities or other interrelationships among the risk
 1424 factors being tested
 1425 f. Methods used to select or to retain risk factors within multivariable models (including
 1426 the test criterion)
 1427 g. Clear declaration of any variables "forced into" the model (not allowed to drop out;
 1428 this implies a need to account for that factor) or offered to the model on a priori grounds
 1429 despite any screening results (this implies that the factor was part of a major hypothesis)
 1430 h. Description of the goodness-of-fit of any models
 1431 i. How missing data were handled

1432 AFTER ACCEPTANCE

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 1435 allowing annotation and correction of proofs online. The environment is similar to MS
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 1437 questions from the Copy Editor. Web-based proofing provides a faster and less error-
 1438 prone process by allowing you to directly type your corrections, eliminating the
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ATTACHMENT III

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Normas do periódico PESQUISA VETERINÁRIA BRASILEIRA

1480 Os trabalhos para submissão devem ser enviados por via eletrônica, através do e-mail
1481 <jurgen.dobereiner@pvb.com.br>, com os arquivos de texto na versão mais recente do
1482 Word e formatados de acordo com o modelo de apresentação disponível no site da
1483 revista (www.pvb.com.br. Devem constituir-se de resultados de pesquisa ainda não
1484 publicados e não considerados para publicação em outra revista.

1485 Para abreviar sua tramitação e aceitação, os trabalhos sempre devem ser submetidos
1486 conforme as normas de apresentação da revista (www.pvb.com.br) e o modelo em Word
1487 (PDF no site). Os originais submetidos fora das normas de apresentação, serão
1488 devolvidos aos autores para a devida adequação.

1489 Apesar de não serem aceitas comunicações (*Short communications*) sob forma de
1490 “Notas Científicas”, não há limite mínimo do número de páginas do trabalho enviado,
1491 que deve, porém, conter pormenores suficientes sobre os experimentos ou a
1492 metodologia empregada no estudo. Trabalhos sobre Anestesiologia e Cirurgia serão
1493 recebidos para submissão somente os da área de Animais Selvagens.

1494 Embora sejam de responsabilidade dos autores as opiniões e conceitos emitidos nos
1495 trabalhos, o Conselho Editorial, com a assistência da Assessoria Científica, reserva-se o
1496 direito de sugerir ou solicitar modificações aconselháveis ou necessárias. Os trabalhos
1497 submetidos são aceitos através da aprovação pelos pares (*peer review*).

1498 NOTE: Em complementação aos recursos para edição da revista (impressa e online) e
1499 distribuição via correio é cobrada taxa de publicação (*page charge*) no valor de R\$
1500 250,00 por página editorada e impressa, na ocasião do envio da prova final, ao autor
1501 para correspondência.

1502 1. Os trabalhos devem ser organizados, sempre que possível, em Título, ABSTRACT,
1503 RESUMO, INTRODUÇÃO, MATERIAL E MÉTODOS, RESULTADOS,
1504 DISCUSSÃO, CONCLUSÕES (ou combinação destes dois últimos), Agradecimentos e
1505 REFERÊNCIAS:

1506 a) o Título do artigo deve ser conciso e indicar o conteúdo do trabalho; pormenores de
1507 identificação científica devem ser colocados em MATERIAL E MÉTODOS.

1508 b) O(s) Autor(es) deve(m) sistematicamente encurtar os nomes, tanto para facilitar sua
1509 identificação científica, como para as citações bibliográficas. Em muitos casos isto
1510 significa manter o primeiro nome e o último sobrenome e abreviar os demais
1511 sobrenomes:

1512 Paulo Fernando de Vargas Peixoto escreve Paulo V. Peixoto ou Peixoto P.V.; Franklin
1513 Riet-Correa Amaral escreve Franklin Riet-Correa ou Riet-Correa F.; Silvana Maria
1514 Medeiros de Sousa Silva poderia usar Silvana M.M.S. Silva, inverso Silva S.M.M.S., ou
1515 Silvana M.M. Sousa-Silva, inverso, Sousa-Silva S.M.M., ou mais curto, Silvana M.
1516 Medeiros-Silva, e inverso, Medeiros-Silva S.M.; para facilitar, inclusive, a moderna
1517 indexação, recomenda-se que os trabalhos tenham o máximo de 8 autores;

1518 c) o ABSTRACT deverá ser apresentado com os elementos constituintes do RESUMO
1519 em português, podendo ser mais explicativos para estrangeiros. Ambos devem ser
1520 seguidos de “INDEX TERMS” ou “TERMOS DE INDEXAÇÃO”, respectivamente;

1521 d) o RESUMO deve apresentar, de forma direta e no passado, o que foi feito e estudado,
1522 indicando a metodologia e dando os mais importantes resultados e conclusões. Nos
1523 trabalhos em inglês, o título em português deve constar em negrito e entre colchetes,
1524 logo após a palavra RESUMO;

- 1525 e) a INTRODUÇÃO deve ser breve, com citação bibliográfica específica sem que a
1526 mesma assuma importância principal, e finalizar com a indicação do objetivo do
1527 trabalho;
- 1528 f) em MATERIAL E MÉTODOS devem ser reunidos os dados que permitam a
1529 repetição do trabalho por outros pesquisadores. Na experimentação com animais, deve
1530 constar a aprovação do projeto pela Comissão de Ética local;
- 1531 g) em RESULTADOS deve ser feita a apresentação concisa dos dados obtidos. Quadros
1532 devem ser preparados sem dados supérfluos, apresentando, sempre que indicado,
1533 médias de várias repetições. É conveniente, às vezes, expressar dados complexos por
1534 gráficos (Figuras), ao invés de apresentá-los em Quadros extensos;
- 1535 h) na DISCUSSÃO devem ser discutidos os resultados diante da literatura. Não convém
1536 mencionar trabalhos em desenvolvimento ou planos futuros, de modo a evitar uma
1537 obrigação do autor e da revista de publicá-los;
- 1538 i) as CONCLUSÕES devem basear-se somente nos resultados apresentados no trabalho;
- 1539 j) Agradecimentos devem ser sucintos e não devem aparecer no texto ou em notas de
1540 rodapé;
- 1541 k) a Lista de REFERÊNCIAS, que só incluirá a bibliografia citada no trabalho e a que
1542 tenha servido como fonte para consulta indireta, deverá ser ordenada alfabeticamente
1543 pelo sobrenome do primeiro autor, registrando-se os nomes de todos os autores, em
1544 caixa alta e baixa (colocando as referências em ordem cronológica quando houver mais
1545 de dois autores), o título de cada publicação e, abreviado ou por extenso (se tiver
1546 dúvida), o nome da revista ou obra, usando as instruções do “Style Manual for
1547 Biological Journals” (American Institute for Biological Sciences), o “Bibliographic
1548 Guide for Editors and Authors” (American Chemical Society, Washington, DC) e
1549 exemplos de fascículos já publicados (www.pvb.com.br).
- 1550 2. Na elaboração do texto deverão ser atendidas as seguintes normas:
- 1551 a) os trabalhos devem ser submetidos seguindo o exemplo de apresentação de fascículos
1552 recentes da revista e do modelo constante do site sob “Instruções aos Autores”
1553 (www.pvb.com.br). A digitalização deve ser na fonte Cambria, corpo 10, entrelinha
1554 simples; a página deve ser no formato A4, com 2cm de margens (superior, inferior,
1555 esquerda e direita), o texto deve ser corrido e não deve ser formatado em duas colunas,
1556 com as legendas das figuras e os Quadros no final (logo após as REFERÊNCIAS). As
1557 Figuras (inclusive gráficos) devem ter seus arquivos fornecidos separados do texto.
1558 Quando incluídos no texto do trabalho, devem ser introduzidos através da ferramenta
1559 “Inserir” do Word; pois imagens copiadas e coladas perdem as informações do
1560 programa onde foram geradas, resultando, sempre, em má qualidade;
- 1561 b) a redação dos trabalhos deve ser concisa, com a linguagem, tanto quanto possível, no
1562 passado e impessoal; no texto, os sinais de chamada para notas de rodapé serão números
1563 arábicos colocados em sobrescrito após a palavra ou frase que motivou a nota. Essa
1564 numeração será contínua por todo o trabalho; as notas serão lançadas ao pé da página
1565 em que estiver o respectivo sinal de chamada. Todos os Quadros e todas as Figuras
1566 serão mencionados no texto. Estas remissões serão feitas pelos respectivos números e,
1567 sempre que possível, na ordem crescente destes. ABSTRACT e RESUMO serão
1568 escritos corridamente em um só parágrafo e não deverão conter citações bibliográficas.
- 1569 c) no rodapé da primeira página deverá constar endereço profissional completo de todos
1570 os autores e o e-mail do autor para correspondência, bem como e-mails dos demais
1571 autores (para eventualidades e confirmação de endereço para envio do fascículo
1572 impresso);
- 1573 d) siglas e abreviações dos nomes de instituições, ao aparecerem pela primeira vez no
1574 trabalho, serão colocadas entre parênteses e precedidas do nome por extenso;

1575 e) citações bibliográficas serão feitas pelo sistema “autor e ano”; trabalhos de até três
1576 autores serão citados pelos nomes dos três, e com mais de três, pelo nome do primeiro,
1577 seguido de “et al.”, mais o ano; se dois trabalhos não se distinguirem por esses
1578 elementos, a diferenciação será feita através do acréscimo de letras minúsculas ao ano,
1579 em ambos. Trabalhos não consultados na íntegra pelo(s) autor(es), devem ser
1580 diferenciados, colocando-se no final da respectiva referência, “(Resumo)” ou “(Apud
1581 Fulano e o ano.)”; a referência do trabalho que serviu de fonte, será incluída na lista
1582 uma só vez. A menção de comunicação pessoal e de dados não publicados é feita no
1583 texto somente com citação de Nome e Ano, colocando-se na lista das Referências dados
1584 adicionais, como a Instituição de origem do(s) autor(es). Nas citações de trabalhos
1585 colocados entre parênteses, não se usará vírgula entre o nome do autor e o ano, nem
1586 ponto-e-vírgula após cada ano; a separação entre trabalhos, nesse caso, se fará apenas
1587 por vírgulas, exemplo: (Christian & Tryphonas 1971, Priester & Haves 1974, Lemos
1588 et al. 2004, Krametter-Froetcher et. al. 2007);

1589 f) a Lista das REFERÊNCIAS deverá ser apresentada isenta do uso de caixa alta, com
1590 os nomes científicos em itálico (grifo), e sempre em conformidade com o padrão
1591 adotado nos últimos fascículos da revista, inclusive quanto à ordenação de seus vários
1592 elementos.

1593 3. As Figuras (gráficos, desenhos, mapas ou fotografias) originais devem ser
1594 preferencialmente enviadas por via eletrônica. Quando as fotos forem obtidas através de
1595 câmeras digitais (com extensão “jpg”), os arquivos deverão ser enviados como obtidos
1596 (sem tratamento ou alterações). Quando obtidas em papel ou outro suporte, deverão ser
1597 anexadas ao trabalho, mesmo se escaneadas pelo autor. Nesse caso, cada Figura será
1598 identificada na margem ou no verso, a traço leve de lápis, pelo respectivo número e o
1599 nome do autor; havendo possibilidade de dúvida, deve ser indicada a parte inferior da
1600 figura pela palavra “pé”. Os gráficos devem ser produzidos em 2D, com colunas em
1601 branco, cinza e preto, sem fundo e sem linhas. A chave das convenções adotadas será
1602 incluída preferentemente, na área da Figura; evitar-se-á o uso de título ao alto da figura.
1603 Fotografias deverão ser apresentadas preferentemente em preto e branco, em papel
1604 brilhante, ou em diapositivos (“slides”). Para evitar danos por grampos, desenhos e
1605 fotografias deverão ser colocados em envelope.

1606 Na versão online, fotos e gráficos poderão ser publicados em cores; na versão impressa,
1607 somente quando a cor for elemento primordial a impressão das figuras poderá ser em
1608 cores.

1609 4. As legendas explicativas das Figuras conterão informações suficientes para que estas
1610 sejam compreensíveis, (até certo ponto auto-explicativas, com independência do texto)
1611 e serão apresentadas no final do trabalho.

1612 5. Os Quadros deverão ser explicativos por si mesmos e colocados no final do texto.
1613 Cada um terá seu título completo e será caracterizado por dois traços longos, um acima
1614 e outro abaixo do cabeçalho das colunas; entre esses dois traços poderá haver outros
1615 mais curtos, para grupamento de colunas. Não há traços verticais. Os sinais de chamada
1616 serão alfabéticos, recomeçando, se possível, com “a” em cada Quadro; as notas serão
1617 lançadas logo abaixo do Quadro respectivo, do qual serão separadas por um traço curto
1618 à esquerda.

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